

Original Research

Usefulness of Vena Contracta for Identifying Severe Secondary Mitral Regurgitation: A Three-Dimensional Transesophageal Echocardiography Study

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Abstract

Background: In secondary mitral regurgitation (SMR), effective regurgitant orifice area by the proximal isovelocity surface area method (EROA_{PISA}) evaluation might cause an underestimation of regurgitant orifice area because of its ellipticity compared with vena contracta area (VCA). We aimed to reassess the SMR severity using VCA-related parameters and EROA_{PISA}. **Methods:** The three-dimensional transesophageal echocardiography data of 128 patients with SMR were retrospectively analyzed; the following parameters were evaluated: EROA_{PISA}, anteroposterior and mediolateral vena contracta widths (VCWs) of VCA (i.e., VCW_{AP} and VCW_{ML}), VCW_{Average} calculated as (VCW_{AP} + VCW_{ML})/2, and VCA_{Ellipse} calculated as $\pi \times (\text{VCW}_{\text{AP}}/2) \times (\text{VCW}_{\text{ML}}/2)$. Severe SMR was defined as VCA $\geq 0.39 \text{ cm}^2$. **Results:** The mean age of the patients was 77.0 ± 8.9 years, and 78 (60.9%) were males. Compared with EROA_{PISA} ($r = 0.801$), VCW_{Average} ($r = 0.940$) and VCA_{Ellipse} ($r = 0.980$) were strongly correlated with VCA. On receiver-operating characteristic curve analysis, VCW_{Average} and VCA_{Ellipse} had C-statistics of 0.981 (95% confidence interval [CI], 0.963–1.000) and 0.985 (95% CI, 0.970–1.000), respectively; these were significantly higher than 0.910 (95% CI, 0.859–0.961) in EROA_{PISA} ($p = 0.007$ and $p = 0.003$, respectively). The best cutoff values for severe SMR of VCW_{Average} and VCA_{Ellipse} were 0.78 cm and 0.42 cm^2 , respectively. The prevalence of severe SMR significantly increased with an increase in EROA_{PISA} (38 of 88 [43.2%] patients with EROA_{PISA} $< 0.30 \text{ cm}^2$, 21 of 24 [87.5%] patients with EROA_{PISA} = $0.30\text{--}0.40 \text{ cm}^2$, and 16 of 16 [100%] patients with EROA_{PISA} $\geq 0.40 \text{ cm}^2$ [Cochran–Armitage test; $p < 0.001$]). Among patients with EROA_{PISA} $< 0.30 \text{ cm}^2$, SMR severity based on VCA was accurately reclassified using VCW_{Average} (McNemar’s test; $p = 0.505$) and VCA_{Ellipse} ($p = 0.182$). **Conclusions:** Among patients who had SMR with EROA_{PISA} of $< 0.30 \text{ cm}^2$, suggestive of moderate or less SMR according to current guidelines, $> 40\%$ had discordantly severe SMR based on VCA. VCW_{Average} and VCA_{Ellipse} values were useful for identifying severe SMR based on VCA in these patients.

Keywords: secondary mitral regurgitation; vena contracta width; vena contracta area; effective regurgitant orifice area

1. Introduction

Secondary mitral regurgitation (SMR) is a common valvular heart disease that affects heart failure symptoms and clinical outcomes [1–3]. According to the current guidelines, two-dimensional (2D) echocardiographic parameters, including vena contracta width (VCW) and effective regurgitant orifice area by the proximal isovelocity surface area method (EROA_{PISA}), are recommended to determine SMR severity; however, the severity may be underestimated using VCW and EROA_{PISA} if regurgitant orifice area is elliptical [4–6].

Vena contracta area (VCA) hydrodynamically corresponds to the regurgitant orifice area [7]. Kahlert *et al.* [8] primarily reported direct planimetry of VCA (VCA_{3D}) based on three-dimensional transesophageal echocardiography (3D-TEE), and VCA_{3D} was subsequently validated using an *in vitro* model and cardiac magnetic resonance imaging [9,10]. Furthermore, Goebel *et al.* [11] re-

ported that compared with EROA_{PISA}, VCA_{3D} is a robust parameter for discriminating severe SMR. Moreover, previous studies have suggested that VCA_{3D} is elliptical in cases of SMR based on several vena contracta (VC) parameters, including anteroposterior VCW (VCW_{AP}), mediolateral VCW (VCW_{ML}), average of VCW_{AP} and VCW_{ML} (VCW_{Average}), and VCA calculated as an ellipse (VCA_{Ellipse}). These studies have also reported that the ellipticity consequently limited the ability of VCW_{AP} and EROA_{PISA} to accurately classify SMR severity [8,12]. However, these were relatively small-scale studies, and there is little information available regarding the best cutoff values of VC parameters for severe SMR.

Thus, we hypothesized that parameters that considered the elliptical shape of the mitral regurgitant orifice, including VCA_{Average} and VCA_{Ellipse}, are better surrogate markers for severe SMR based on VCA_{3D} than EROA_{PISA}. This study also investigated the best cutoff values of



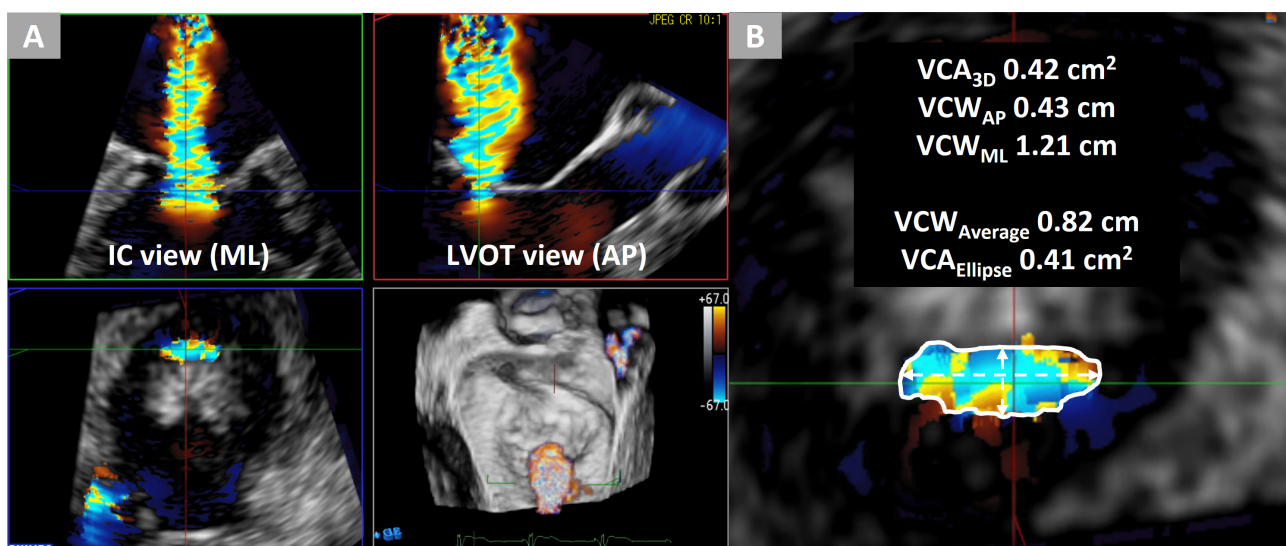


Fig. 1. Assessment of vena contracta using 3D-TEE. A case of an 84-year-old woman with dilated cardiomyopathy and secondary mitral regurgitation. (A) Vena contracta described by multiplanar reconstruction of 3D color Doppler datasets. (B) VCA_{3D} measured using manual planimetry of the vena contracta was 0.42 cm^2 . VCW_{AP} and VCA_{ML} measured as the narrow and wide VCWs in the anteroposterior and mediolateral directions were 0.43 and 1.21 cm, respectively. $VCW_{Average}$, calculated as $(VCW_{AP} + VCW_{ML})/2$, was 0.82 cm. $VCA_{Ellipse}$, calculated as $\pi \times (VCW_{AP}/2) \times (VCW_{ML}/2)$, was 0.41 cm^2 . IC, intercommissural; LVOT, left ventricular outflow tract; 3D-TEE, three-dimensional transesophageal echocardiography; VCA_{3D} , three-dimensional vena contracta area; VCW_{AP} , anteroposterior vena contracta width; VCW_{ML} , mediolateral vena contracta width; $VCW_{Average}$, average of anteroposterior and mediolateral vena contracta widths; $VCA_{Ellipse}$, vena contracta area as an ellipse.

these VC parameters for severe SMR. Furthermore, we reassessed the true SMR severity using the cutoff values of VC parameters to avoid underestimating SMR based on $EROA_{PISA}$.

2. Methods

2.1 Patient Population

Patient characteristics and echocardiographic data were collected from the medical records and echocardiography reports. The study protocol was approved by the Institutional Review Board of New Tokyo Hospital and was in accordance with the guidelines of the Declaration of Helsinki. The requirement for informed consent was waived because of the retrospective nature of this study. Based on integrative methods using qualitative, semiquantitative, and quantitative approaches, 154 patients with at least mild SMR were identified via a review of echocardiography databases at New Tokyo Hospital between January 2018 and March 2021. These patients underwent 3D-TEE based on clinical indications and transthoracic echocardiography (TTE) within 1 month of 3D-TEE at our center [4]. SMR was defined as incomplete mitral leaflet closure because of regional myocardial dysfunction, global left ventricular remodeling, apical tethering of the mitral valve (MV), or annular dilation in the presence of an anatomically normal valve apparatus [4,13]. Of 172 patients, those with multiple or nonholosystolic SMR jet (6 patients), previous

MV intervention (7 patients), concomitant mitral stenosis (2 patients) [14], and mitral annular calcification (3 patients) were excluded from this study.

Overall, 19 of 154 patients were excluded because the quality of 3D imaging was inadequate for VCA_{3D} analysis, and 7 patients were excluded because of incomplete data for the quantitative assessment of SMR; hence, 128 patients were included in the final analysis.

2.2 Echocardiographic Parameters

Echocardiographic examinations were performed using iE33 system (Philips Healthcare, Andover, MA, USA) and EPIQ7 system (Philips Healthcare, Andover, MA, USA) equipped with a matrix-array transducer for transthoracic (X5-1) and transesophageal echocardiography (X7-2t and X8-2t), according to the guidelines for the clinical application of echocardiography [4,14–18]. For offline analysis, echocardiographic data were stored in a computer at a dedicated workstation.

Regarding two-dimensional TTE (2D-TTE) parameters, left ventricular end-diastolic and -systolic volumes, left ventricular ejection fraction (LVEF), and left atrial volume were estimated using the biplane Simpson disk method via transthoracic echocardiography.

Regarding TEE parameters, $EROA_{PISA}$ and regurgitant volume (RV_{PISA}) were estimated using the proximal isovelocity surface area method [4]. A continuous wave Doppler cursor was aligned parallel to the SMR jet for

obtaining peak velocity and velocity–time integral at a Nyquist limit of 50–70 cm/s, with the gain set to a level immediately below the threshold for noise. EROA_{PISA} was derived using a color Doppler in a four-chamber view at an aliasing velocity of 30–40 cm/s. Moreover, during systole, proximal isovelocity surface area (PISA) radius and flow velocity parameters were obtained at similar time points for calculating EROA_{PISA}. To determine VC parameters, 3D color Doppler datasets were acquired from an intercommissural view using full volume for each patient. The quantification of VCA_{3D} was performed via multiplanar reconstruction using dedicated software (Philips QLAB Versions 9.0, Philips Healthcare, Andover, MA, USA) (Fig. 1) [4]. The cropping plane was moved along the direction of the jet until the smallest jet cross-sectional area became visible at the level of VC. Subsequently, VCA_{3D} was measured using manual planimetry of the color Doppler flow signal. VCW_{AP} and VCW_{ML} were also measured as anteroposterior and mediolateral VCWs, respectively, in reconstructed 2D planes from the 3D-TEE dataset; VCW_{AP} and VCW_{ML} were obtained in the left ventricular outflow tract and intercommissural views (or views that were close to intercommissural views), respectively [8]. VCW_{Average} was calculated as (VCW_{AP} + VCW_{ML})/2, VCA_{Ellipse} was calculated as $\pi \times (\text{VCW}_{\text{AP}}/2) \times (\text{VCW}_{\text{ML}}/2)$ [8], and VCA_{3D} shape index was calculated as VCW_{ML}/VCW_{AP}. In patients with irregular rhythm (i.e., atrial fibrillation or flutter not requiring constant ventricular pacing for bradycardia), these parameters were calculated as the mean of 3–5 parameters performed by avoiding remarkable irregular RR intervals. EROA_{PISA} and VC parameters were performed by one observer (H.O.).

VCA_{3D} of $\geq 0.39 \text{ cm}^2$ was used as a reference standard of severe SMR in the current study, considering that the severity of SMR may be underestimated using EROA_{PISA} and that VCA_{3D} is a more robust parameter for distinguishing severe SMR than EROA_{PISA} [4,11].

2.3 Statistical Analysis

Categorical variables were presented as frequencies and analyzed using chi-square, Fisher's exact, or Cochran–Armitage test, as appropriate. Continuous variables were presented as mean \pm standard deviation or median with interquartile range and were compared using Mann–Whitney U or Jonckheere–Terpstra test, as appropriate. The overall rates of correct SMR severity classifications based on VCA_{3D} were statistically compared using McNemar's test in 2×2 tables. Correlations between different parameters were determined using Pearson's test and linear regression analysis. Receiver operating characteristic (ROC) curve analyses were performed to assess the ability of each parameter to identify severe SMR based on VCA_{3D}. The Youden index was used to determine the best cutoff value for severe SMR based on VCA_{3D} considering optimal sensitivity and specificity. Discrimination of severe SMR based

Table 1. Patient demographics.

Variables	All patients (n = 128)
Age, years	77.0 \pm 8.9
Men, n	78 (60.9)
Body surface area, m ²	1.57 \pm 0.17
Hypertension, n	66 (51.6)
Diabetes mellitus, n	42 (32.8)
Dyslipidemia, n	59 (46.1)
Smoking, n	71 (55.5)
Chronic kidney disease (eGFR <60 mL/min/1.73 m ²), n	108 (84.4)
Paroxysmal atrial fibrillation/flutter, n	32 (25.0)
Persistent atrial fibrillation/flutter, n	64 (50.0)
Irregular rhythm, n	54 (42.2)
Previous myocardial infarction, n	35 (27.3)
Pacemaker, n	16 (12.5)
Implantable cardioverter defibrillator, n	16 (12.5)
Cardiac resynchronization therapy, n	7 (5.5)
NYHA functional class	2.1 \pm 0.6
I, n	19 (14.8)
II, n	85 (66.4)
III, n	23 (18.0)
IV, n	1 (0.8)

Continuous data are presented as means \pm standard deviations, except brain natriuretic peptide (median and interquartile range); categorical data are given as the counts (percentages).

eGFR, estimated glomerular filtration rate; NYHA, New York Heart Association.

on VCA_{3D} was assessed using the C-statistic. All statistical tests were two-tailed, and a two-sided *p*-value of <0.05 was considered to indicate statistical significance. Data analysis was performed using EZR software version 1.50 (Saitama Medical Center, Jichi Medical University, Saitama, Japan) [19].

3. Results

3.1 Patient Characteristics

The mean age of the patients was 77.0 \pm 8.9 years, and 78 (60.9%) patients were men (Table 1). Regarding echocardiographic data, the mean LVEF was 37.5% \pm 13.4%, with an LVEF of $<50\%$ in 95 (74.2%) patients (Table 2). The mean tenting height of MV was 0.88 \pm 0.34 cm. Regarding SMR quantification, EROA_{PISA} and RV_{PISA} were 0.26 \pm 0.12 cm² and 40.6 \pm 17.3 mL, respectively, with severe SMR based on EROA_{PISA} of $\geq 0.40 \text{ cm}^2$ (according to the current guidelines) in 16 (12.5%) patients [4]. VCA_{3D} was 0.46 \pm 0.26 cm², with severe SMR based on VCA_{3D} in 75 (58.6%) patients. VCW_{Average} and VCA_{Ellipse} were 0.84 \pm 0.26 cm and 0.49 \pm 0.28 cm², respectively.

3.2 Associations of EROA_{PISA} with VCA_{3D}

EROA_{PISA} showed a strong correlation with VCA_{3D} ($r = 0.801$, $p < 0.001$) (Fig. 2A). ROC curve analysis revealed that EROA_{PISA} showed good discrimination of se-

Table 2. Echocardiographic data.

Variables	All patients (n = 128)
Measurements on two-dimensional transthoracic echocardiography	
LVEDV index, mL/m ²	120.6 ± 50.0
LVESV index, mL/m ²	83.9 ± 47.9
LVEF, %	37.5 ± 13.4
LVEF <50%, n	95 (74.2)
Interventricular septum thickness, mm	9.3 ± 1.9
Posterior wall thickness, mm	9.2 ± 1.8
Left atrial volume index, mL/m ²	119.8 ± 72.6
PASP, mmHg	41.6 ± 14.0
Severe aortic stenosis, n	0 (0.0)
Severe aortic regurgitation, n	3 (2.3)
Severe mitral stenosis, n	0 (0.0)
Severe tricuspid regurgitation, n	32 (25.0)
Severe pulmonary regurgitation, n	0 (0.0)
Atrial septal defect, n	5 (3.9)
Measurements in mitral valve on three-dimensional transesophageal echocardiography	
Heart rate, bpm	70.0 ± 10.3
Heart rate in 54 patients with irregular rhythm, bpm	71.9 ± 10.4
Anterior mitral leaflet pseudoprolapse, n	42 (33.0)
Tenting height, cm	0.88 ± 0.34
Anteroposterior annulus diameter, cm	3.28 ± 0.43
Mediolateral annulus diameter, cm	3.49 ± 0.43
EROA _{PISA} , cm ²	0.26 ± 0.12
RV _{PISA} , mL	40.6 ± 17.3
Severe SMR based on EROA _{PISA} of ≥0.40 cm ² , n	16 (12.5)
VCW _{AP} , cm	0.49 ± 0.14
VCW _{ML} , cm	1.19 ± 0.44
VCA _{3D} , cm ²	0.46 ± 0.26
Severe SMR based on VCA _{3D} of ≥0.39 cm ² , n	75 (58.6)
VCW _{Average} , cm	0.84 ± 0.26
Severe SMR based on VCA _{Average} of ≥0.78 cm, n	72 (56.3)
VCA _{Ellipse} , cm ²	0.49 ± 0.28
Severe SMR based on VCA _{Ellipse} of ≥0.42 cm ² , n	70 (54.7)
VCA _{3D} shape index	2.47 ± 0.84
Frame rate in VCA _{3D} measurements, Hz	18.4 ± 6.1
Frame rate in VCA _{3D} measurements in 54 patients with irregular rhythm, Hz	18.8 ± 5.4

Continuous data are presented as means ± standard deviations; categorical data are given as the counts (percentages).

LVEDV, left ventricular end-diastolic volume; LVESV, left ventricular end-systolic volume; LVEF, left ventricular ejection fraction; PASP, pulmonary artery systolic pressure; EROA_{PISA}, effective regurgitant orifice area by the proximal isovelocity surface area method; RV_{PISA}, regurgitant volume based on proximal isovelocity surface area method; SMR, secondary mitral regurgitation; VCW_{AP}, anteroposterior vena contracta width; VCW_{ML}, mediolateral vena contracta width; VCA_{3D}, vena contracta area based on three-dimensional echocardiographic data; VCW_{Average}, averaged vena contracta width; VCA_{Ellipse}, elliptical vena contracta area.

vere SMR based on VCA_{3D} (C-statistic, 0.910; 95% confidence interval [CI], 0.859–0.961; $p < 0.001$), with the best cutoff value of 0.21 cm² (Fig. 2B). The sensitivity and specificity of EROA_{PISA} for severe SMR based on VCA_{3D} were as follows: EROA_{PISA} of 0.20 cm², 92.0% and 73.6%; EROA_{PISA} of 0.30 cm², 49.3% and 94.3%; and EROA_{PISA} of 0.40 cm², 22.6% and 100.0%; respectively. In addition, VCA_{3D} and SMR incidence were significantly lower ($p < 0.001$) in patients with nonsevere SMR based on EROA_{PISA} of <0.40 cm² (according to the current guidelines) than

in those with severe SMR based on EROA_{PISA} of ≥0.40 cm² (Fig. 2C,D) [4]. Notably, among 112 patients with nonsevere SMR based on EROA_{PISA} of <0.40 cm², 59 (52.7%) had discordantly severe SMR based on VCA_{3D}. SMR severity based on VCA_{3D} was not correctly reclassified as severe SMR by EROA_{PISA} (McNemar's test; $p < 0.001$).

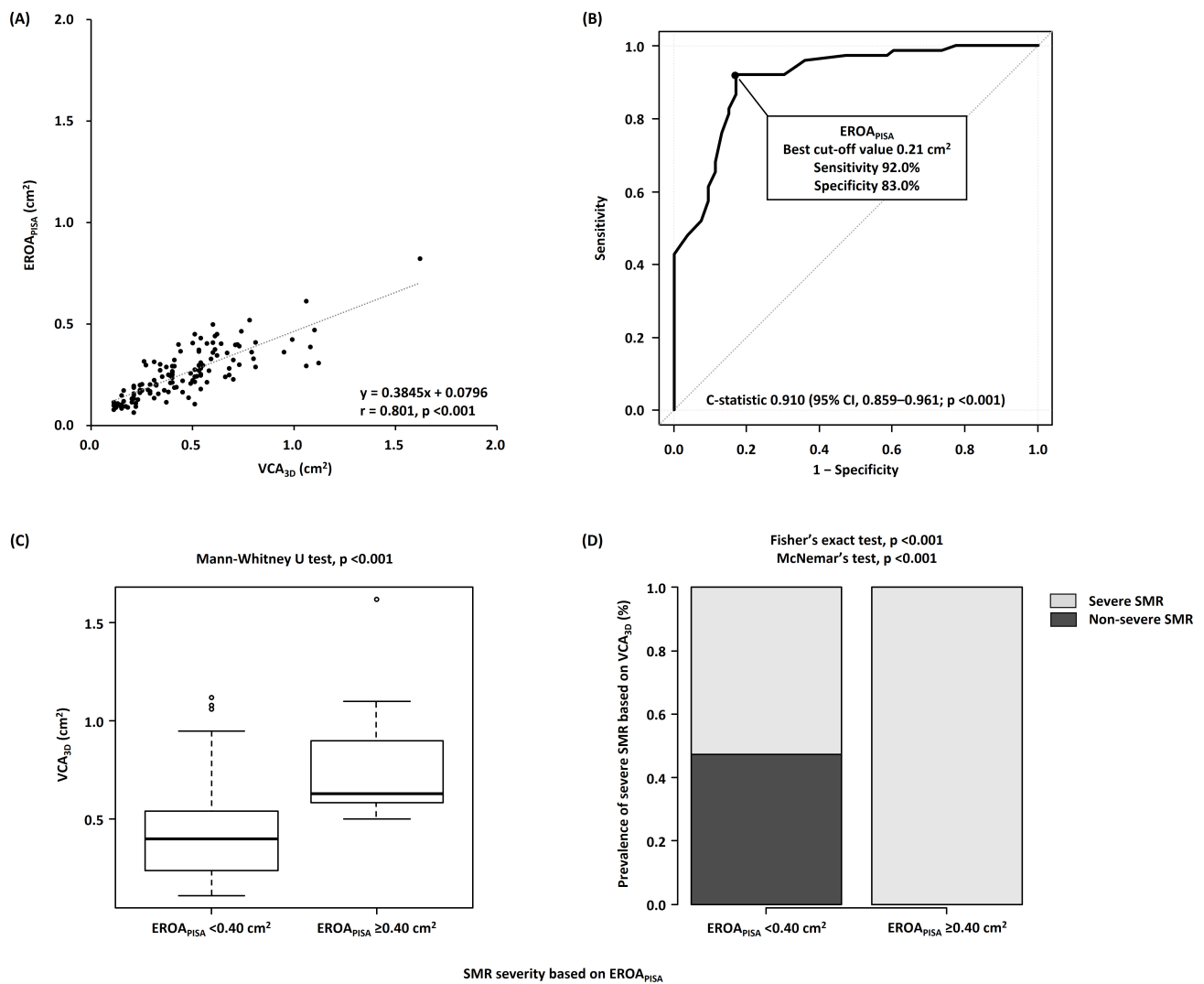


Fig. 2. Associations of VCA_{3D} with $EROA_{PISA}$. (A) Correlations between VCA_{3D} and $EROA_{PISA}$. (B) Receiver operating characteristic curve analyses of $EROA_{PISA}$ to identify severe SMR. (C) Comparison of VCA_{3D} between the nonsevere ($EROA_{PISA}$ of $<0.40 \text{ cm}^2$) and severe ($EROA_{PISA}$ of $\geq 0.40 \text{ cm}^2$) SMR groups. (D) Incidence of severe SMR based on VCA_{3D} of $\geq 0.39 \text{ cm}^2$ in the nonsevere ($EROA_{PISA}$ of $<0.40 \text{ cm}^2$) and severe ($EROA_{PISA}$ of $\geq 0.40 \text{ cm}^2$) SMR groups. VCA_{3D} , three-dimensional vena contracta area; $EROA_{PISA}$, effective regurgitant orifice area by proximal isovelocity surface area method; SMR, secondary mitral regurgitation.

3.3 Associations of VCW_{AP} with VCA_{3D}

VCW_{AP} showed a strong correlation with VCA_{3D} ($r = 0.786$, $p < 0.001$). ROC curve analysis indicated that VCW_{AP} showed relatively good discrimination of severe SMR based on VCA_{3D} (C-statistic, 0.874; 95% CI, 0.812–0.936; $p < 0.001$), with the best cutoff value of 0.43 cm.

3.4 Associations of $VCW_{Average}$ and $VCA_{Ellipse}$ with VCA_{3D}

$VCW_{Average}$ and $VCA_{Ellipse}$ had a strong correlation with VCA_{3D} ($r = 0.940$, $p < 0.001$ and $r = 0.980$, $p < 0.001$, respectively) (Figs. 3A,4A). According to ROC curve analysis, $VCW_{Average}$ and $VCA_{Ellipse}$ showed fairly good discrimination of severe SMR based on VCA_{3D} (C-statistic, 0.981; 95% CI, 0.963–1.000; $p < 0.001$ and C-statistic, 0.985; 95% CI, 0.970–1.000; $p < 0.001$, respectively), with

the best cutoff values of 0.78 cm and 0.42 cm^2 , respectively (Figs. 3B,4B). Moreover, regarding the comparison of C-statistics, $VCW_{Average}$ and $VCA_{Ellipse}$ showed significantly better discrimination than $EROA_{PISA}$ ($p = 0.007$ and $p = 0.003$, respectively).

In addition, patients with nonsevere SMR, according to $VCW_{Average}$ of $<0.78 \text{ cm}$ and $VCA_{Ellipse}$ of $<0.42 \text{ cm}^2$, showed significantly lower VCA_{3D} ($p < 0.001$ for both) and SMR incidence based on VCA_{3D} ($p < 0.001$ for both) than those with severe SMR based on $VCW_{Average}$ and $VCA_{Ellipse}$ (Fig. 3C,D and Fig. 4C,D). Notably, SMR severity based on VCA_{3D} was correctly reclassified as severe SMR based on $VCW_{Average}$ ($p = 0.505$) and $VCA_{Ellipse}$ ($p = 0.182$).

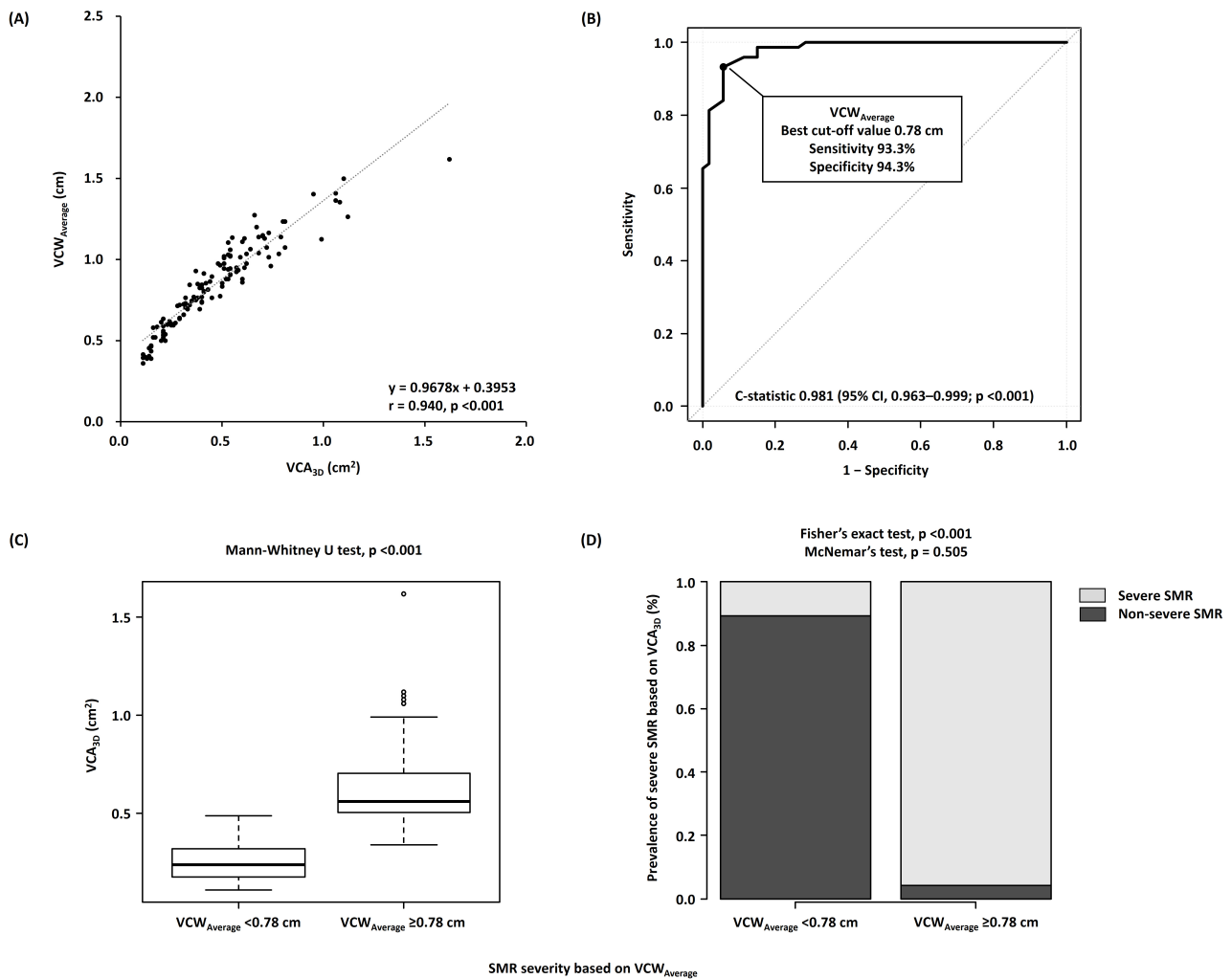


Fig. 3. Associations of VCA_{3D} with $VCW_{Average}$. (A) Correlations between VCA_{3D} and $VCW_{Average}$. (B) Receiver operating characteristic curve analyses of $VCW_{Average}$ to identify severe SMR. (C) Comparison of VCA_{3D} between the nonsevere ($VCW_{Average}$ of <0.78 cm) and severe ($VCW_{Average}$ of ≥ 0.78 cm) SMR groups. (D) Incidence of severe SMR based on VCA_{3D} of ≥ 0.39 cm² in the nonsevere ($VCW_{Average}$ of <0.78 cm) and severe ($VCW_{Average}$ of ≥ 0.78 cm) SMR groups. VCA_{3D} , three-dimensional vena contracta area; $VCW_{Average}$, average of anteroposterior and mediolateral vena contracta widths; SMR, secondary mitral regurgitation.

3.5 SMR Severity Based on $EROA_{PISA}$ Considering $VCW_{Average}$ and $VCA_{Ellipse}$

Our patients were classified into the following three subgroups based on $EROA_{PISA}$ according to the current guidelines [4]: 88 patients with $EROA_{PISA}$ of <0.30 cm², 24 patients with $EROA_{PISA}$ of 0.30 – 0.40 cm², and 16 patients with $EROA_{PISA}$ of ≥ 0.40 cm². According to the incremental $EROA_{PISA}$, VCA_{3D} ($p < 0.001$) and SMR incidence based on VCA_{3D} ($p < 0.001$) significantly increased (Fig. 5A,B). Notably, in patients with $EROA_{PISA}$ of <0.30 cm², which is suggestive of moderate SMR according to the current guidelines, 38 of 88 (43.2%) patients had severe MR based on VCA_{3D} . However, SMR severity based on VCA_{3D} in patients with $EROA_{PISA}$ of <0.30 cm² was correctly reclassified as severe MR based on $VCW_{Average}$ ($p = 0.505$) and $VCA_{Ellipse}$ ($p = 0.182$) (Fig. 6A,B).

4. Discussion

The current study revealed the following findings: (1) $VCW_{Average}$ and $VCA_{Ellipse}$ had a fairly strong correlation with VCA_{3D} , with the best cutoff values of 0.78 cm and 0.42 cm², respectively, and (2) $VCW_{Average}$ of ≥ 0.78 cm and $VCA_{Ellipse}$ of ≥ 0.42 cm² might be useful in identifying severe SMR based on VCA_{3D} , particularly in patients with $EROA_{PISA}$ of <0.30 cm², corresponding to moderate SMR according to the current guidelines, who are at potential risk of underestimation of SMR severity because of the ellipticity of regurgitant orifice area [4].

4.1 Usefulness of $VCW_{Average}$ and $VCA_{Ellipse}$ in Identifying Severe SMR

Although VCW_{AP} was shown to be a reliable semi-quantitative parameter for evaluating SMR severity according to the current guidelines, VCW_{ML} evaluation is

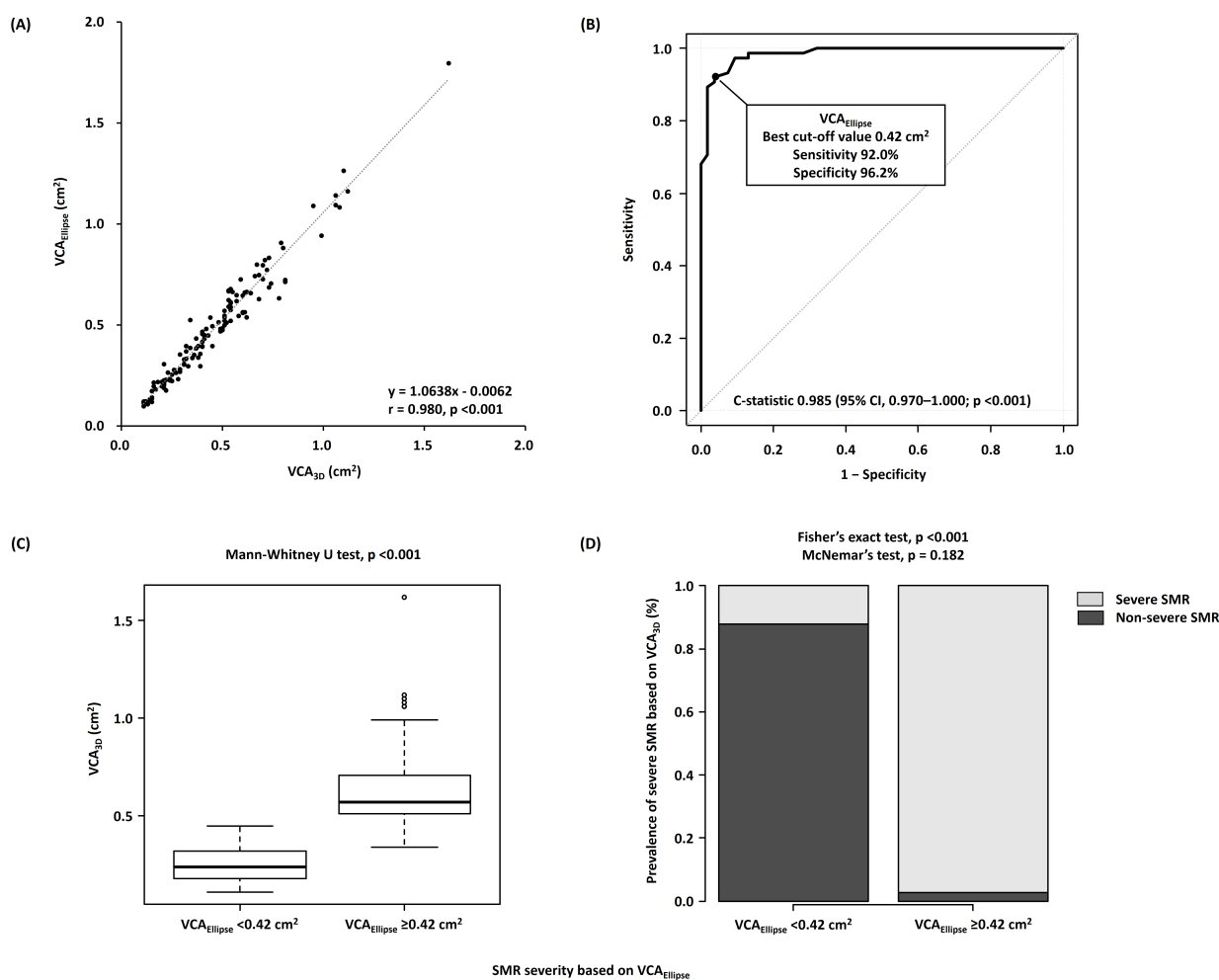


Fig. 4. Associations of VCA_{3D} with VCA_{Ellipse}. (A) Correlations between VCA_{3D} and VCA_{Ellipse}. (B) Receiver operating characteristic curve analyses of VCA_{Ellipse} to identify severe SMR. (C) Comparison of VCA_{3D} between the nonsevere (VCA_{Ellipse} of <0.42 cm²) and severe (VCA_{Ellipse} of ≥0.42 cm²) SMR groups. (D) Incidence of severe SMR based on VCA_{3D} of ≥0.39 cm² in the nonsevere (VCA_{Ellipse} of <0.42 cm²) and severe (VCA_{Ellipse} of ≥0.42 cm²) SMR groups. VCA_{3D}, three-dimensional vena contracta area; VCA_{Ellipse}, vena contracta area as an ellipse; SMR, secondary mitral regurgitation.

not routinely used as a stand-alone parameter [4]. However, according to a previous study by Kahlert *et al.* [8], VCW_{ML} was more strongly correlated with VCA_{3D} than with VCW_{AP}. Furthermore, VCW_{Average} is strongly correlated with VCA_{3D} [8]. To accurately identify severe SMR, the current guidelines recommend calculating VCW_{Average} with a cutoff value of 0.80 cm for severe SMR if the regurgitant orifice area is elliptical [4]. However, there is little information on the discrimination and best cutoff value of VCW_{Average} for severe SMR. Our study indicated that VCW_{Average} had a fairly strong correlation with VCA_{3D} and showed adequately good discrimination of severe SMR. Notably, the best cutoff value of VCW_{Average} was 0.78 cm—which is close to the value of 0.80 cm according to the current guidelines—with adequately high sensitivity and specificity for severe SMR based on VCA_{3D} [4]. Further,

VCA_{Ellipse} had a strong correlation with VCA_{3D} and showed good discrimination of severe SMR. Moreover, the best cut-off value of VCA_{Ellipse} was 0.42 cm², with high sensitivity and specificity for severe SMR based on VCA_{3D}.

The current study and previous studies have demonstrated that the regurgitant orifice area in SMR may be elliptical [8,12], indicating that SMR severity based on VCW_{AP} and EROA_{PISA} is underestimated [4–6]. Furthermore, there was a weak correlation between the VCA_{3D} shape index and difference between VCA_{3D} and EROA_{PISA}; this finding conforms to that reported by Goebel *et al.* [11], suggesting that the ellipticity of the regurgitant orifice area rather than the extent of ellipticity is related to the underestimation of SMR severity based on EROA_{PISA}.

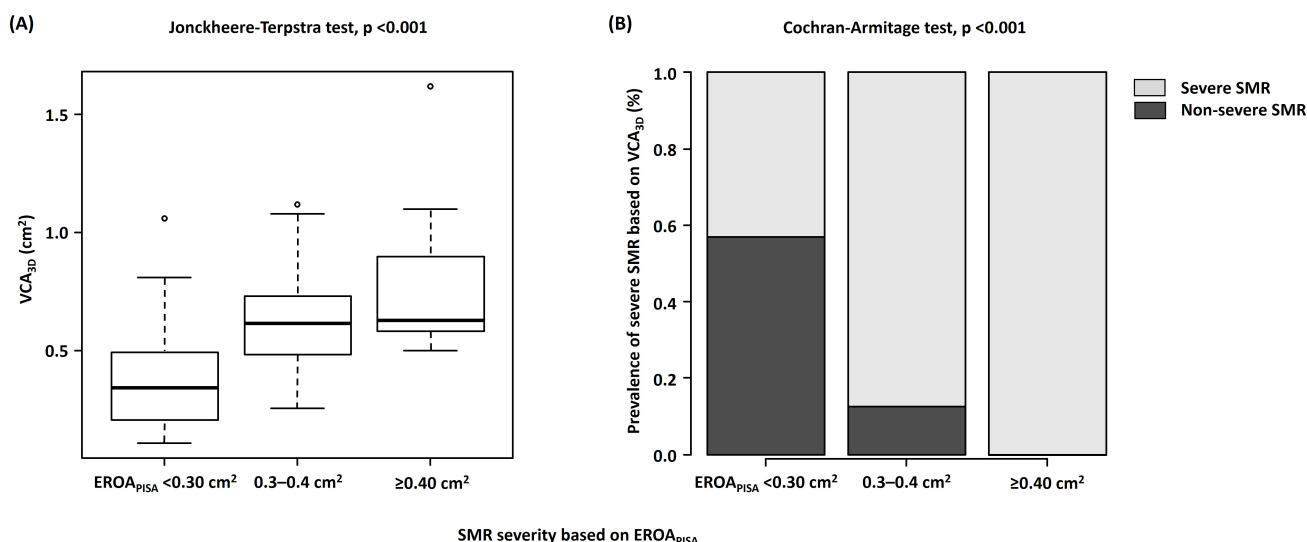


Fig. 5. Associations between VCA_{3D} and $EROA_{PISA}$ among the three subgroups ($EROA_{PISA}$ of $<0.30 \text{ cm}^2$, $EROA_{PISA}$ of $0.30\text{--}0.40 \text{ cm}^2$, and $EROA_{PISA}$ of $\geq 0.40 \text{ cm}^2$). (A) Increase in VCA_{3D} according to the increase in SMR severity. (B) Incidence of severe SMR based on VCA_{3D} of $\geq 0.39 \text{ cm}^2$ according to the increase in SMR severity. VCA_{3D} , three-dimensional vena contracta area; $EROA_{PISA}$, effective regurgitant orifice area by proximal isovelocity surface area method; SMR, secondary mitral regurgitation.

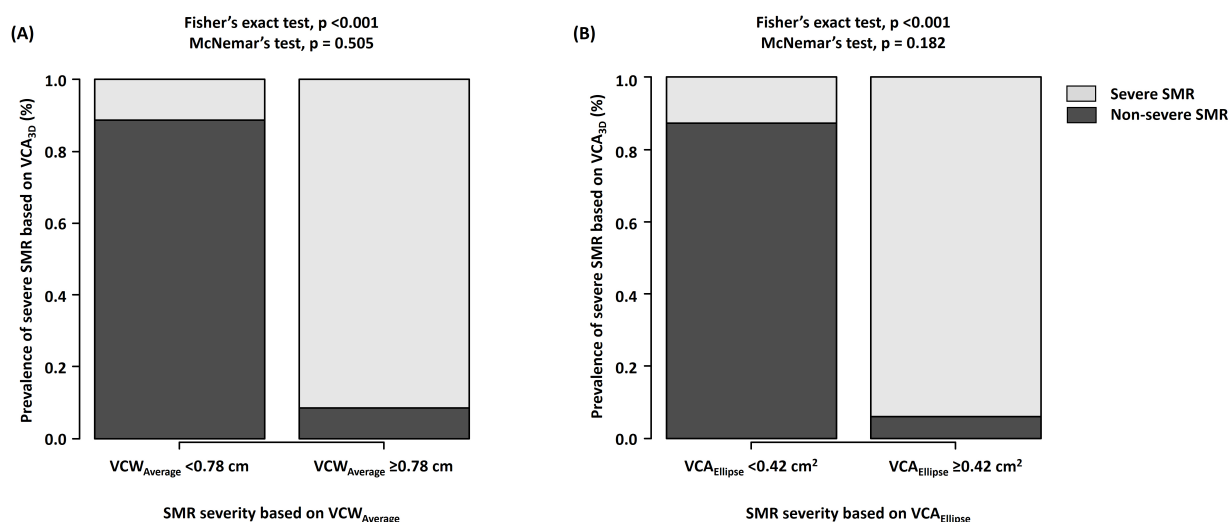


Fig. 6. Associations of VCA_{3D} with $VCW_{Average}$ and $VCA_{Ellipse}$ in the $EROA_{PISA} < 0.30 \text{ cm}^2$ group. (A) Incidence of severe SMR based on VCA_{3D} of $\geq 0.39 \text{ cm}^2$ between the nonsevere ($VCW_{Average}$ of $<0.78 \text{ cm}$) and severe ($VCW_{Average}$ of $\geq 0.78 \text{ cm}$) SMR groups. (B) Incidence of severe SMR based on VCA_{3D} of $\geq 0.39 \text{ cm}^2$ between the nonsevere ($VCA_{Ellipse}$ of $<0.42 \text{ cm}^2$) and severe ($VCA_{Ellipse}$ of $\geq 0.42 \text{ cm}^2$) SMR groups. VCA_{3D} , three-dimensional vena contracta area; $VCW_{Average}$, average of anteroposterior and mediolateral vena contracta widths; $VCA_{Ellipse}$, vena contracta area as an ellipse; $EROA_{PISA}$, effective regurgitant orifice area determined by the proximal isovelocity surface area method; SMR, secondary mitral regurgitation.

4.2 Assessment of SMR Severity to Avoid its Underestimation

Patients with SMR having $EROA_{PISA}$ of $<0.30 \text{ cm}^2$, corresponding to moderate SMR according to the current guidelines, have a potential risk of underestimation of SMR severity because of the elliptical regurgitant orifice area [4]. Of the 88 patients with $EROA_{PISA}$ of $<0.30 \text{ cm}^2$ in

the current study, 38 (43.2%) had severe MR based on VCA_{3D} . In such cases, $VCW_{Average}$ of $\geq 0.78 \text{ cm}$ and/or $VCA_{Ellipse}$ of $\geq 0.42 \text{ cm}^2$ might be useful in identifying discordantly severe SMR based on VCA_{3D} . If $EROA_{PISA}$ is $\geq 0.30 \text{ cm}^2$, SMR severity is expected to be truly severe based on VCA_{3D} ; however, $EROA_{PISA}$ of $<0.30 \text{ cm}^2$ does not necessarily indicate nonsevere SMR based on VCA_{3D} .

If $VCW_{Average}$ of ≥ 0.78 cm and/or $VCA_{Ellipse}$ of ≥ 0.42 cm² are calculated using VCW_{AP} and VCW_{ML} , SMR severity might be considered discordantly severe despite the $EROA_{PISA}$ of <0.30 cm². After the exclusion of severe SMR according to the abovementioned assessment, symptomatic patients may be evaluated using exercise-stress echocardiography to confirm significantly worsening SMR, if applicable.

4.3 Clinical Implications

Although severe SMR is associated with adverse clinical outcomes [1–3], it may be underestimated using conventional echocardiographic parameters, including VCW_{AP} and $EROA_{PISA}$. Moreover, an inaccurate assessment of SMR severity can lead to misleading indications for optimal MV interventions, including MV transcatheter edge-to-edge repair, which is known to be effective and is recommended in patients with SMR with reduced LVEF [5,20,21]. Karam *et al.* [22] reported that MV transcatheter edge-to-edge repair for SMR is equally effective in patients with $EROA_{PISA}$ of <0.30 cm² and those with $EROA_{PISA}$ of ≥ 0.30 cm² in terms of clinical outcomes, suggesting that patients with $EROA_{PISA}$ of <0.30 cm² may have a higher severity of SMR than expected based on $EROA_{PISA}$. To obtain an accurate evaluation of SMR severity, VCA_{3D} is useful as a substantially reliable echocardiographic parameter [11]. However, the assessment of VCA_{3D} is relatively time-consuming and requires good quality of 3D-echocardiographic data [4]. $VCW_{Average}$ and $VCA_{Ellipse}$, which were calculated via simple equations using VCW_{AP} and VCW_{ML} , showed fairly strong correlations with VCA_{3D} and good discrimination of severe SMR based on VCA_{3D} . Therefore, instead of VCA_{3D} , $VCW_{Average}$ and $VCA_{Ellipse}$, with best cutoff values of 0.78 cm and 0.42 cm², respectively, might be helpful in identifying true severe SMR.

5. Study Limitations

This study has several important limitations. First, this was a small-scale retrospective analysis of patients with SMR who underwent TEE, with a considerable bias in data accumulation (i.e., selection bias). Second, our study defined severe SMR as VCA_{3D} of ≥ 0.39 cm² based on the findings of a previous study [11]. However, our results may not be accurate when using other definitions of severe SMR based on modalities other than echocardiography, including cardiac magnetic resonance imaging. Third, TEE and TTE were not performed on the same day. Hence, there might have been differences in the hemodynamic status at the time of TEE and TTE. Finally, we measured VCW_{AP} and VCW_{ML} using 3D-TEE data, which may not be similar to VCW_{AP} and VCW_{ML} determined using 2D-TEE. However, there were no significant differences between VCW_{AP} and VCW_{ML} measured using 3D-TEE and 2D-echocardiography according to a previous study [8].

6. Conclusions

$VCW_{Average}$ and $VCA_{Ellipse}$ based on 3D-TEE were strongly associated with VCA_{3D} . Therefore, in general, the regurgitant orifice area of SMR may be elliptical, and SMR severity might be underestimated if determined using only VCW_{AP} and $EROA_{PISA}$. Hence, $VCW_{Average}$ and $VCA_{Ellipse}$, with best cutoff values of 0.78 cm and 0.42 cm², respectively, were useful in identifying severe SMR.

Abbreviations

SMR, Secondary mitral regurgitation; EROA, Effective regurgitant orifice area; $EROA_{PISA}$, Effective regurgitant orifice area by proximal isovelocity surface area method; 3D-TEE, Three-dimensional transesophageal echocardiography; VC, Vena contracta; VCW , Vena contracta width; VCA , Vena contracta area; VCA_{3D} , three-dimensional vena contracta area; $VCA_{Ellipse}$, Vena contracta area as an ellipse; VCW_{AP} , Anteroposterior vena contracta width; VCW_{ML} , Mediolateral vena contracta width; $VCW_{Average}$, Average of anteroposterior and mediolateral vena contracta widths.

Availability of Data and Materials

Data will be shared on request to the corresponding author with the permission of New Tokyo Hospital and St. Marianna University Hospital.

Author Contributions

HO and MI designed the study. HO acquired and analyzed the data. HO, MI, TN, YJA and SA interpreted the results. HO and MI prepared the manuscript. All authors contributed to editorial changes in the manuscript. All authors read and approved the final manuscript. All authors have participated sufficiently in the work and agreed to be accountable for all aspects of the work.

Ethics Approval and Consent to Participate

The study protocol was approved by the Institutional Review Board of New Tokyo Hospital (0267) and was in accordance with the guidelines of the Declaration of Helsinki. The requirement for informed consent was waived because of the retrospective nature of this study.

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Conflict of Interest

The authors declare no conflict of interest.

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