

The Role of Inflammation in Plaque Disruption and Thrombosis

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Most of the serious clinical manifestations (such as unstable angina, acute MI, and many cases of sudden death) of coronary atherosclerosis result from thrombosis, usually occurring on a disrupted atherosclerotic plaque. Plaques prone to disruption have large lipid-rich cores with evidence of cap-thinning and active inflammation. Inflammatory cells may contribute to both plaque disruption and subsequent thrombosis. Here we review the evidence for the involvement of inflammation in plaque disruption and thrombosis and the potential clinical implications of this pathophysiologic paradigm.

[Rev Cardiovasc Med. 2001;2(2):82–91]

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Key words: Atherosclerosis • Coronary artery disease • Inflammation • Thrombosis

Coronary atherosclerotic disease is the leading cause of death in the United States and in many developing countries. The clinical spectrum of coronary atherosclerosis varies from an asymptomatic state and stable angina to acute coronary syndromes, such as unstable angina, acute myocardial infarction, and sudden cardiac death. The progression of disease is often unpredictable, with the natural history punctuated by rapid worsening of coronary luminal obstruction causing sudden development of total or near-total occlusion. This rapid change is most frequently due to thrombus formation on top of a disrupted plaque; however, abnormal vasomotor tone may also play a contributory role in certain instances.

The Concept of “Vulnerable Plaques”

Histopathologic studies have demonstrated certain characteristic features in ruptured plaques, and by inference these attributes have been suggested to be determinants of vulnerability to disruption. These features include¹⁻⁹:

1. A large, predominantly acellular, soft, lipid-rich core
2. A thin fibrous cap with focal areas of thinning
3. Inflammatory cell infiltration in the plaque and fibrous caps, especially at shoulder regions and underneath sites of rupture and in the adventitia
4. Reduced smooth muscle cell density along with reduced collagen content in the fibrous caps
5. Increased neovascularity in the plaque
6. Outward or positive remodeling of vessel size.

Since outward remodeling can preserve the lumen despite a large amount of plaque in the wall, remodeled vessels may not demonstrate significant angiographically detectable stenosis and may thus remain undetected until disruption occurs. A high frequency of positive or outward remodeling in vulnerable and disrupted plaques may explain, in part, the observation that 50% to 70% of acute coronary syndromes arise from plaques that are mildly or moderately obstructive prior to disruption.

In comparison with intact plaques, disrupted plaques contain a substantially greater number of

matrix-degrading metalloproteinases (MMPs) and cysteine proteases (cathepsin S) that are capable of degrading virtually all components of the extracellular matrix.¹⁰⁻¹² Similarly, reduced smooth muscle content (from programmed cell death, or apoptosis) and reduced synthetic function (from T-cell-derived cytokines) may contribute to reduced capacity for collagen synthesis, which in turn contributes to matrix dysregulation and plaque disruption. Increased apoptosis involving smooth muscle cells in atherosclerosis has been described and attributed to cytotoxic effects of macrophage-derived products (reac-

unclear, it is possible that superficial endothelial erosion may occur from loss of basement membrane anchoring due to the basement-membrane-degrading activity of certain MMPs by cells within the vessel wall. Furthermore, a prominent circulating prothrombotic state created by cigarette smoking and/or by estrogens in females may create a suitable milieu for thrombosis at sites of superficial arterial injury.

Thrombosis Following Plaque Rupture

Following plaque disruption, coronary thrombosis may supervene, depending on the thrombogenicity of plaque components exposed, the severity of local stenosis with its effect on shear stress and shear-induced platelet activation, and the prevailing systemic thrombotic-thrombolytic balance. The main thrombogenic components of the plaque include the collagen and the lipid core. The high thrombogenicity of the lipid core may be due to its high content of catalytically active tissue factor, produced predominantly by macrophages in the atherosclerotic plaque.^{16,17} Tissue factor, on exposure to circulating blood, interacts with factor VIIa, and this complex in turn activates factor X. Activated factor X initiates the cascade by cleaving prothrombin to thrombin, which in turn triggers coagulation with fibrin deposition and platelet activation, resulting in thrombus formation. We have recently demonstrated that phenotypic differentiation of monocytes and macrophages as well as exposure of macrophages to oxLDL cholesterol markedly enhances their tissue factor content and procoagulant activity.¹⁸ Recent studies have also shown that activated circulating leukocytes

Disruption of atherosclerotic plaque and subsequent thrombosis is a key precipitant of potentially lethal acute coronary syndromes.

inflammatory cells, often in the fibrous cap and around the lipid core with a preferential concentration at rupture-prone shoulders of the plaque or underneath the thinned-out or disrupted fibrous cap.^{2,3,5,6} In addition, adventitial inflammation is common in lesions responsible for acute myocardial infarction. Monocyte-derived macrophages, often bearing markers of activation, are the most abundant component of the inflammatory response, but activated T lymphocytes and degranulating mast cells are also found in larger numbers in disrupted plaques than in intact plaques.^{3,5,6} Plaque fissure or rupture is likely to result from loss of collagen matrix in the fibrous cap, leading to thinning, weakening, and eventual rupture. Several studies have recently demonstrated that macrophages and, to a lesser extent, smooth-muscle-cell-derived foam cells in atherosclerotic plaques produce a family of

active oxygen species, epidermal growth factor [EGF]-like domain of tenascin-C), oxidized low-density lipoprotein (oxLDL) and other mediators.

Plaque Erosion

In a subset of patients who die suddenly from acute coronary syndromes, the anatomic substrate for coronary thrombosis is superficial endothelial erosion of a proteoglycan-rich atherosclerotic lesion without rupture of the fibrous cap. Plaque erosion is characterized by less calcification, less luminal narrowing, and less inflammatory cell infiltration than is seen in ruptured plaques.¹³ Patients who die of plaque erosion tend to be younger, to be female, to smoke cigarettes, and to have lower total/high-density-lipoprotein (HDL) cholesterol ratios than patients with plaque disruption.¹³⁻¹⁵ Although the precise reason for erosion and subsequent thrombosis is

(mostly monocytes but probably neutrophils as well) can transfer tissue-factor-rich microparticles to platelets, which make them capable of triggering thrombosis. This transfer involves the interaction of CD15 and tissue factor with platelets.^{19,20} These findings may thus explain the presence of tissue factor in platelet-rich thrombi deposited on denuded arterial wall even when the wall has no stainable tissue factor. These observations may have relevance to thrombosis associated with plaque erosion.¹³⁻¹⁵

Extrinsic factors such as local flow disturbance related to severity of local stenosis, altered local geometry leading to shear-induced platelet activation, and the systemic thrombotic-thrombolytic balance affect the thrombotic response following plaque disruption. Elevated levels of fibrinogen, increased factor VII-mediated procoagulant activity, enhanced platelet aggregability, and depressed endogenous fibrinolysis have all been shown to have a relationship with risk of atherothrombotic vascular events.

Endothelial dysfunction may also contribute to the thrombotic consequences of plaque rupture. Normal vascular endothelium plays a critical role in the regulation of vascular tone by releasing vasodilators (eg, nitric oxide, prostacyclin) and vasoconstrictors (eg, endothelin) and in maintenance of a balance between thrombosis and thrombolysis by releasing antithrombotic (eg, nitric oxide, protein C, heparin sulfate, ecto-adenosine diphosphate [ADP]ase), prothrombotic (eg, tissue factor, endothelin), profibrinolytic (eg, tissue plasminogen activator), and antifibrinolytic (eg, plasminogen activator inhibitor-1) agents. The endothelial dysfunction associated with atherosclerosis and the presence of risk factors for atherosclerosis increase the potential for excessive

and paradoxical vasoconstriction, the expression of adhesion molecules that recruit monocytes and other inflammatory cells into the arterial wall, and the promotion of a prothrombotic and antifibrinolytic state.²¹⁻²³ Therefore, abnormal

angiotensin II levels, cigarette smoking, hyperhomocysteinemia, infection, and immune modulation have all been implicated. Different therapeutic strategies against these triggers have been proposed and tested experimentally and/or clinically.

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endothelial function may also play a role in thrombosis following plaque disruption.

Potential Triggers of Plaque Inflammation and Therapeutic Approaches

Histologic evidence of accumulation of activated inflammatory cells (macrophages, T lymphocytes, mast cells) in atherosclerotic plaques, especially at sites of plaque disruption or impending plaque disruption, coupled with the increased circulating levels of inflammatory markers (C-reactive protein, serum amyloid A, leukocyte adhesion molecules) seen in atherosclerotic disease has suggested a potential link between inflammation and atherothrombosis.²⁴⁻²⁷ This view is further supported by epidemiologic studies demonstrating adverse prognostic implications of elevated levels of circulating inflammatory markers as well as by the plausible pathophysiologic underpinnings of plaque disruption and thrombosis discussed previously.

The precise triggers for inflammation in atherosclerosis remain unknown, but dyslipidemia (increased LDL cholesterol and triglyceride and lowered HDL cholesterol), hypertension, physical and mental stress, diabetes mellitus, estrogen deficiency, increased

cally. Among them, cholesterol lowering, angiotensin-converting enzyme inhibition, smoking cessation, and diabetes control have been clinically proven to be effective in reducing the morbidity and mortality of coronary artery disease, most likely through plaque stabilization.

Concept of plaque stabilization.

Reduced risk for plaque disruption and thrombosis may be achieved through change in lipid content, inflammatory cell infiltration, and activity in the plaque, leading to plaque stabilization. In hypercholesterolemic rabbits, lipid lowering reduces the expression and activity of MMP and tissue factor and promotes accumulation of mature smooth muscle cells and collagen, all of which favor plaque stabilization and reduction of thrombotic complications.³⁸⁻⁴⁰ Such a plaque stabilization effect was recently further demonstrated by our group in a clinical lipid-lowering study, which showed that pravastatin treatment for 3 months increased collagen content and decreased lipid content, inflammation, and matrix metalloproteinase expression in human carotid plaques.⁴¹ Thus, lipid lowering may work by stabilizing vulnerable plaques, preventing disruption and/or subsequent thrombosis. This therapeutic paradigm

opens up a new approach to reducing the adverse consequences of atherosclerosis.

Dyslipidemia. Hypercholesterolemia is associated with increased risk for CAD, as demonstrated in numerous observational and epidemiologic studies. Hypercholesterolemia leading to increased production of oxLDL cholesterol can cause endothelial dysfunction; stimulates expression of various MMPs in endothelium, vascular smooth muscle cells, and monocyte-derived macrophages;^{28,29} and enhances tissue factor expression and activity.^{30,31} All of these effects have been implicated in inflammation, plaque formation, plaque disruption, and subsequent thrombosis. Randomized, placebo-controlled trials have clearly demonstrated that LDL or triglyceride-lowering therapy (with increases in HDL) can reduce the morbidity and mortality of CAD in primary and secondary prevention.³²⁻³⁶

A number of serial angiographic trials evaluating the efficacy of lipid lowering and lifestyle modification have demonstrated a disproportionately greater reduction in the incidence of atherothrombotic clinical events (ie, acute coronary syndromes and strokes) compared with relatively trivial change in the severity of coronary stenosis.³⁷ This clinical-angiographic paradox led to the concept that risk factor modification, especially lipid lowering, may reduce the incidence of plaque disruption or thrombosis, and thus the number of clinical events, by changing the biology of the plaque rather than its overall size, volume, or the severity of stenosis.³⁷

The strong negative relationship between HDL cholesterol levels and CAD in epidemiologic studies suggested that HDL-based therapy could be a new therapeutic paradigm

for atherosclerotic vascular disease.⁴² The antiatherogenic effects of HDL have been largely attributed to its apo A-I component. Thus, overexpression of human apo A-I transgene in mice results in elevated apo A-I and HDL levels, with substantial protection against dyslipidemia.^{43,44} Systemic gene therapy delivering the apo A-I gene in experimental animals

molecular or antigenic mimicry.⁵¹

Although direct evidence linking infectious agents to atherosclerosis in humans is lacking, animal models have suggested that infection can accelerate atherosclerosis in the presence of hyperlipidemia.⁵²⁻⁵⁴ It has been observed that only hypercholesterolemic mice, not wild-type mice, develop atheroscle-

Atherosclerosis is a chronic inflammatory disease associated with activation of the immune system.

results in similar results and regression of atherosclerosis.^{45,46} Our group further demonstrated that intravenous administration of reconstituted or recombinant apo A-I-HDL reduced plaque inflammation and lipid content, prevented progression of atherosclerotic lesions,⁴⁷ and also provided beneficial vascular protective effects.^{48,49}

Infection. A growing body of evidence has suggested that infection may contribute to atherosclerosis and/or its destabilization. A number of infectious agents have been implicated, including *Chlamydia pneumoniae*, *Helicobacter pylori*, herpes simplex virus, and cytomegalovirus.⁵⁰ These infectious agents and their secreted products can directly tilt the balance between prothrombotic and fibrinolytic activity toward a more hypercoagulable state in endothelium, enhance leukocyte adhesion to endothelium, and promote the production of proinflammatory cytokines.⁵⁰ Indirectly, these infectious agents may also elicit a systemic inflammatory response that enhances proinflammatory cytokine gene expression in local atheroma.⁵⁰ Furthermore, infectious agents may activate the immune system, leading to immune-mediated vascular damage through

rotic lesions when infected with Herpesvirus.⁵⁴ A similar synergistic effect of hypercholesterolemia and infection in atherosclerotic lesion formation was observed in *Chlamydia*-infected LDL-receptor-deficient mice.⁵⁵ Wild-type mice raised in a pathogen-free environment developed more aortic lesions than wild-type mice raised in conventional conditions, whereas IL-10 knockout mice raised in conventional conditions developed larger aortic lesions than IL-10 knockout mice raised in a pathogen-free environment.⁵⁶ This suggests that infection alone may not elicit an atherogenic response and that other factors (such as cholesterol level or cytokine balance) may be necessary to facilitate or modulate atherogenesis.

It is not clear whether antichlamydial therapy stabilizes vulnerable plaques by modifying plaque composition; nevertheless, clinical trials have been conducted to explore the hypothesis that antichlamydial treatment with macrolides would reduce the risk of coronary artery disease (CAD). Two small pilot studies have suggested that macrolide therapy may reduce the risk of recurrent acute coronary syndromes in patients with CAD.^{57,58} However, these findings were not confirmed in

a somewhat larger, randomized secondary prevention trial.⁵⁹ Additional large-scale trials are under way to test the hypothesis that antichlamydial antibiotics reduce CAD events.

Homocysteine. Severe hyperhomocysteinemia due to congenital deficiency of cystathionine β -synthase or N⁵,N¹⁰-methylene-tetrahydrofolate reductase (MTHFR) is rare but has long been recognized to cause premature atherosclerotic vascular disease. Recently, mild hyper-homocysteinemia was identified as an independent risk factor for atherosclerosis in the coronary, cerebral, and peripheral vasculature^{60,61} and its levels were found to predict coronary mortality in patients with established coronary disease.⁶² Multiple factors, including age, sex, smoking status, genetics, nutritional status, disease state, and drug therapy, may influence plasma homocysteine levels. A detailed description of individual factors is beyond the scope of this article and can be found in the literature.^{63–65} A mutant MTHFR allele due to a single-base-pair change from cytosine to thymine at nucleotide 677 of the MTHFR gene was once hypothesized to be a genetic risk factor for premature cardiovascular disease, based on the association between mutation homozygosity and higher homocysteine level and on the high prevalence of this MTHFR mutation among the American, Canadian, and Dutch populations.^{66–68} However, subsequent studies did not confirm this hypothesis.^{69–71}

The exact cellular mechanism(s) responsible for the role of homocysteine in vascular injury is unknown. However, experimental evidence suggests homocysteine may act on various cellular components that are responsible for plaque rupture and atherothrombosis. Homocysteine

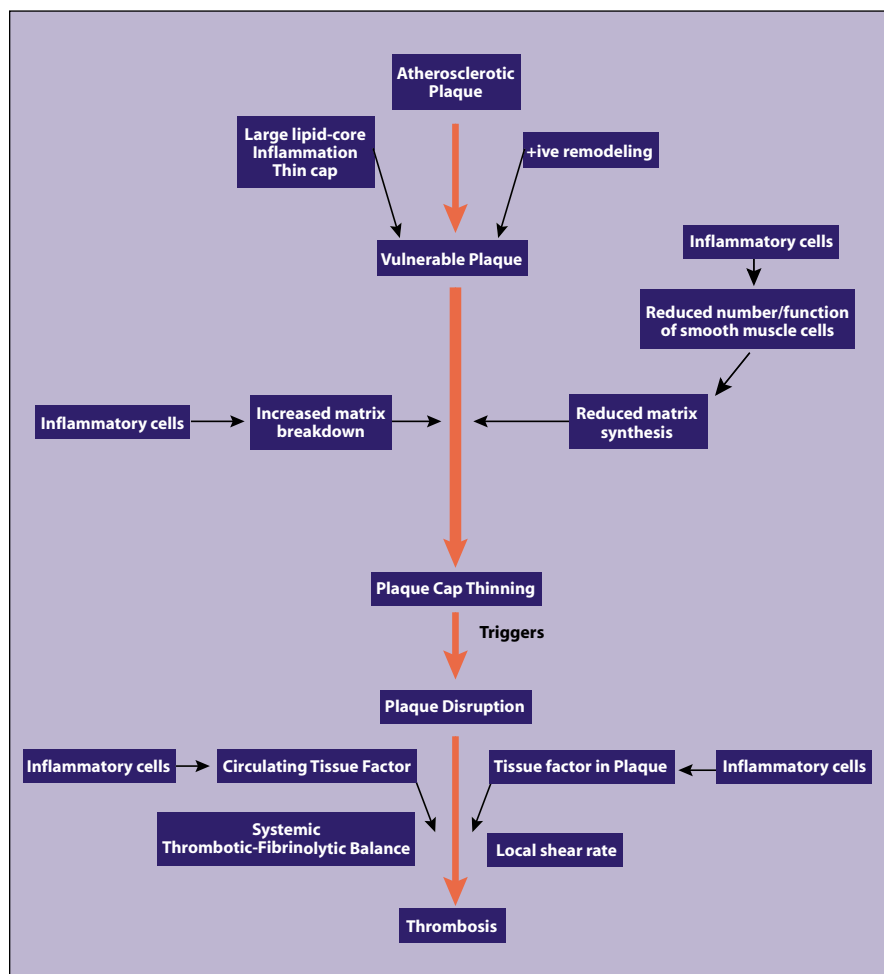


Figure 1. Schematic describing the pathophysiology of plaque rupture and thrombosis.

causes an inflammatory response in endothelium, as evidenced by increased P-selectin and intercellular adhesion molecule-1 (ICAM-1) expression, which in turn increases leukocyte rolling, adherence, and transmigration across vessel walls.⁷² Homocysteine can directly activate MMP-2,⁷³ which may contribute to extracellular matrix degradation, leading to plaque rupture. A hypercoagulable state can also be induced by homocysteine, since homocysteine enhances tissue factor activity in endothelium and monocytes; promotes platelet aggregation and thromboxane biosynthesis; and,

in conjunction with oxLDL cholesterol, increases GP IIb/IIIa/fibrinogen-dependent platelet adhesion to endothelium.^{74–77}

Folic acid and vitamin B6 supplementation lowers plasma homocysteine levels and improves markers of endothelial dysfunction.⁷⁸ It also induces regression of carotid plaques, as assessed by 2-dimensional B-mode ultrasound measurement.⁷⁹ A recent randomized, placebo-controlled trial showed that homocysteine-lowering treatment in healthy siblings of patients with premature atherothrombotic disease is associated with decreased occurrence of

abnormal exercise electrocardiography results.⁸⁰ Although either clinically irrelevant or soft endpoints were used in these studies, their data seemed to suggest a beneficial

mental animals, angiotensin II promotes atherosclerotic lesions and aneurysm formation,⁸⁶ and treatment with angiotensin converting enzyme inhibitors (ACEIs) reduces the inci-

macrophages is unclear, but heat shock protein and oxLDL are possible candidates. Heat shock protein has been shown to activate vascular endothelium, smooth muscle cells, and macrophages⁹¹ and antibody against heat shock protein has also been associated with seropositivity to *Chlamydia pneumoniae* and *Helicobacter pylori* in patients with atherosclerosis.⁵¹ Autoantibodies against oxLDL exist in animals and humans⁹²⁻⁹⁴; however, their role in atherogenesis and whether their level predicts the severity of atherosclerosis remain controversial. Patients with atherosclerotic vascular disease have been shown to have higher levels of autoantibodies against oxLDL.⁹⁵⁻¹⁰⁰ However, observations that oxLDL autoantibodies do not predict atherosclerotic vascular disease^{101,102} or even protect against atherosclerosis¹⁰³ have also been reported.

Though the exact role of oxLDL antibody in atherogenesis remains unclear, its existence in vivo may provide the basis for immune modulatory therapy. In experimental animals, intravenous immunoglobulin treatment reduced atherosclerosis by modulating T-cell activity and/or antibody production.¹⁰⁴ Immunizing hyperlipidemic animals with homol-

role of homocysteine-lowering therapy. Currently, there are no data from randomized trials using clinically relevant hard endpoints to substantiate the beneficial effect of homocysteine lowering by vitamin supplementation.

Angiotensin II and angiotensin-converting enzyme inhibitors.

Activation of the renin-angiotensin-aldosterone system increases the risk of cardiovascular events. This may be due to the fact that angiotensin II can stimulate growth factors that are important in atherogenesis, activate an inflammatory response in vascular smooth muscle cells, promote smooth muscle cell hypertrophy, oxidize LDL-cholesterol particles, increase oxidative stress and superoxide production, and activate nuclear factor- κ B (NF- κ B) nuclear transcription, leading to expression of endothelial vascular cell adhesion molecule (VCAM)-1.⁸¹⁻⁸⁵ In experi-

dence of atherosclerotic lesions.⁸⁷⁻⁸⁹

This atherosclerosis reduction effect could translate to a clinically relevant cardiac event reduction effect, since a recent randomized trial showed that ramipril treatment reduces the rates of death, myocardial infarction, and stroke in patients with high risk factors for atherosclerotic vascular disease.⁹⁰

Immune modulation. Atherosclerosis is a chronic inflammatory disease associated with activation of the immune system. Atherosclerotic plaques contain activated macrophages expressing major histocompatibility complex (MHC) II molecules that allow them to present antigens to T lymphocytes. Activated T lymphocytes secrete proinflammatory cytokines such as interferon gamma and tumor necrosis factor alpha that further promote inflammatory responses. The nature of the antigens that can be processed by activated

Main Points

- Thrombosis causes most of the acute coronary events associated with atherosclerosis.
- Disrupted plaques contain more inflammatory cells than intact plaques.
- Increased fibrinogen levels, procoagulant activity, and platelet aggregability and depressed endogenous fibrinolysis increase the risk of atherothrombosis.
- Either rupture or erosion of atherosclerotic plaques can cause sudden death from acute coronary syndromes.
- The collagen and the lipid core in plaques are highly thrombogenic.
- Risk factor modification may work by stabilizing the plaque rather than changing its size or the severity of stenosis.
- Infection, homocysteine, angiotensin II, and immune factors may influence the risk of atherothrombosis and may be appropriate targets for intervention.

ogenous oxLDL decreased atherosclerotic lesion size, with concomitant development of antibody against oxLDL.¹⁰⁵⁻¹⁰⁷ Of interest is the observation that immunizing animals with homologous native LDL achieved similar plaque-reducing effects^{105,107} without formation of antibodies against oxidation-specific epitopes.¹⁰⁷ This plaque-reducing effect of immune modulation therapies appears to be independent of cholesterol lowering, because plasma cholesterol levels were either not changed¹⁰⁴⁻¹⁰⁶ or only mildly reduced with therapies.¹⁰⁷

After antigen activation, T lymphocytes secrete a variety of cytokines that can modulate atherogenesis. Activated T cells can be induced to develop along 2 functional subsets: Th1 or Th2, based on their secreted cytokine profiles. Interferon gamma (IFN-gamma) and interleukin-12 (IL-12) are typical Th1 cytokines, and both promote atherosclerosis.^{108,109} Typical Th2 cytokines include interleukin-4 (IL-4) and interleukin-10 (IL-10). IL-10 deactivates macrophages and T cells, inhibits NF- κ B activation,¹¹⁰ and reduces MMP and tissue factor expression,^{111,112} which have all been attributed to the anti-inflammatory properties of IL-10. Since Th1 and Th2 cytokines cross-regulate each other, it is possible that Th1/Th2 balance in vivo may influence atherogenesis. This concept has been tested in experimental animals. Mice lacking Th1 signaling (INF-gamma receptor knockout) or having enhanced Th2 signaling (IL-10 transgenic mice) developed smaller atherosclerotic lesions,^{108,113} less cellular infiltration in lesions, and higher collagen content in plaques,¹⁰⁸ implying a more stable plaque phenotype. On the other hand, mice lacking Th2 signaling (IL-10 knockout mice) developed more atherosclerotic lesions, higher

numbers of infiltrating T cells, and lower collagen content in plaques.⁵⁶

Although immune modulatory therapy and Th1/Th2 cytokine manipulation strategy appears promising in reducing atherosclerosis, this approach is still too new for clinical testing or exploration. Whether these therapeutic modalities will reduce plaque disruption and subsequent thrombosis is also unknown.

Conclusion

Considerable data from in vitro and in vivo studies of vascular biology, together with indirect evidence from clinical trials of lipid-lowering, lifestyle-modification, or risk factor-modifying interventions, provide strong support for the concept that disruption of atherosclerotic plaque and subsequent thrombosis is a key precipitant of potentially lethal acute coronary syndromes. Certain characteristics of plaques, including the size and composition of the lipid core, the structure and composition of the fibrous cap, and the presence of a local inflammatory process, predispose the plaque to disruption. Therefore, interventions aimed at decreasing plaque vulnerability and promoting plaque stabilization may reduce the risk of acute coronary syndromes. Several possible therapeutic approaches to reduce inflammatory responses of atherosclerosis are under study. Although not yet rigorously validated in humans, except for cholesterol reduction, plaque-stabilizing therapies may prove to be an important clinical strategy for preventing the lethal consequences of coronary atherosclerosis and other forms of atherothrombotic vascular disease. ■

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