



## Review

# Nanomaterials in Alzheimer's disease treatment: a comprehensive review

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## TABLE OF CONTENTS

1. Abstract
2. Introduction
3. Hallmarks of brain affected by Alzheimer's disease
4. Role of various factors in the pathological development of Alzheimer's disease
  - 4.1 Lipid
  - 4.2 Metals
  - 4.3 Macromolecular crowding
5. Therapeutic modalities in the treatment of Alzheimer's disease
6. Nanomaterials as therapeutic tools to combat AD
  - 6.1 Fullerenes
  - 6.2 Nanotubes
  - 6.3 Quantum dots
  - 6.4 Magnetic nanoparticles
  - 6.5 Dendrimers
  - 6.6 Liposomes
  - 6.7 Nanodiscs
  - 6.8 Carbon dots
7. Conclusions and future outlook
8. Author contributions
9. Ethics approval and consent to participate
10. Acknowledgment
11. Funding
12. Conflict of interest
13. References

## 1. Abstract

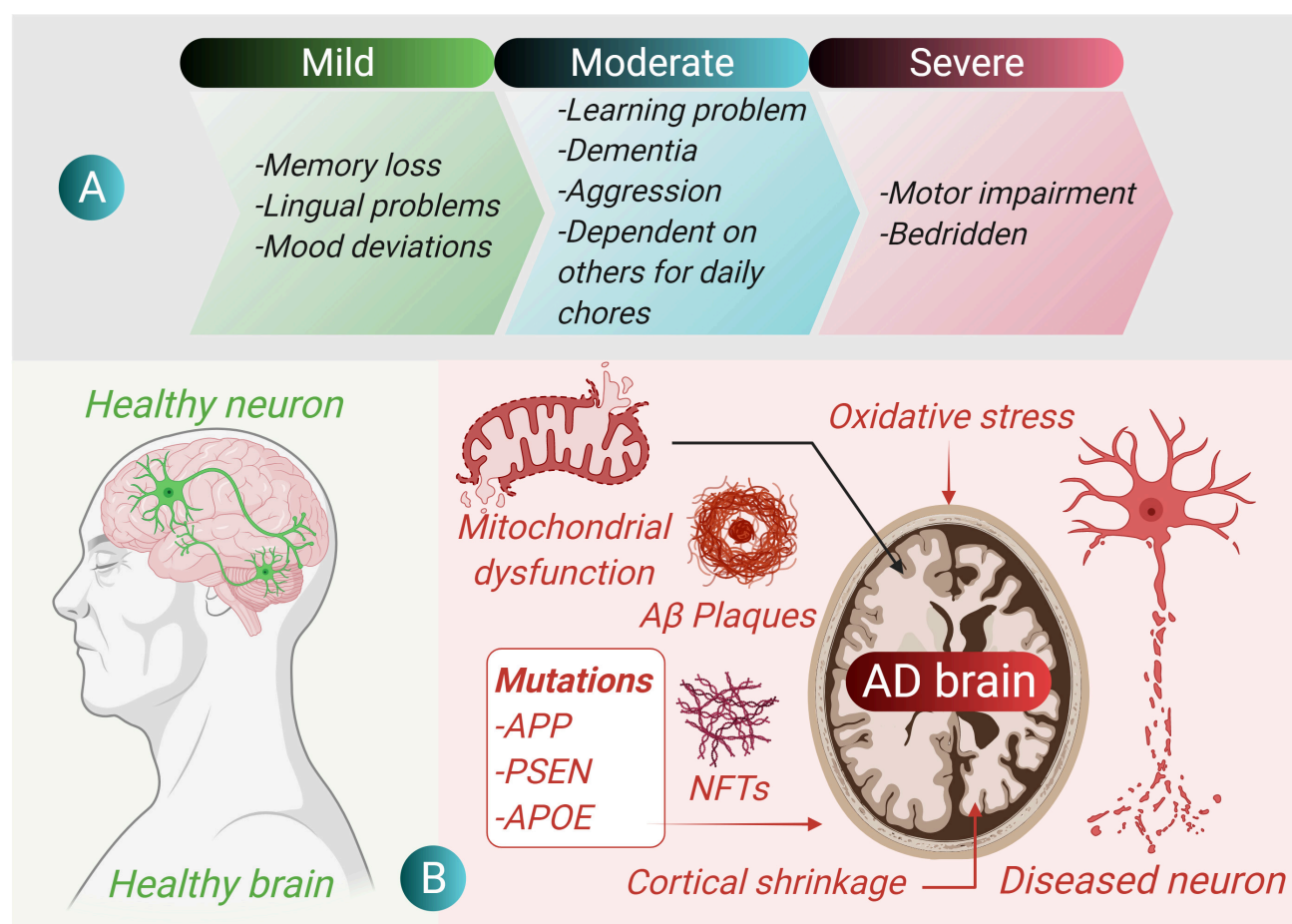
Alzheimer's, a progressive neurodegenerative disease affects brain and neurons through enormous reduction in nerve cell regenerative capacity. Dementia and impairment of cognitive functions are more prevalent in Alzheimer's disease (AD) patients in both industrialized and non-industrialized countries. Various factors play significant role in molecular cascades that leads to neuronal inflammation, dementia and thereby AD progression. Current medications are symptomatic that alleviates pain while lack in absolute cure, urging researchers to explore targets and therapeutics. Interestingly, nanomedicines developed due to the onset of nanotechnology, are being extensively investigated for the treatment of AD. This review presents the advancement in nanotherapeutic strategies, involving the emergence of nanomaterials that offers advantage to pass through the blood-brain barrier and acts as a therapeutic modality against AD.

## 2. Introduction

The neurodegenerative disorder, AD is the sixth leading cause of death that affects 5.8 million Americans and is estimated to reach 14 million by 2050. According to the Alzheimer's association, AD or associated dementia victimize 1 in 3 senior patient which is higher than cancer disease (breast and prostate cancer) [1]. The economic burden levied by this disease and other form dementia is expected to rise to 1.1 trillion dollars by 2050 from 290 billion dollars in 2019 [1]. AD adversely progresses with age as the major risk factor, the disease doubles exponentially every five years after the age of 65 [2–4]. The persistent lacuna remains in the diagnosis of AD that fails to establish a full-proof diagnosis except for post-mortem identification of AD characteristics such as NFTs and SPs [5, 6]. Premortem reports of the neurological, cognitive and neurophysiological tests as well as *in vivo* brain imaging in addition to patient's clinical history, have proven to provide maximal accuracy of 85% [5]. The trajectory of AD begins with healthy aging, preclinical AD that progresses to MCI and ultimately leads to dementia. These stages in trajectory are distinguished by associated symptoms represented in Fig. 1A. Though, the disease remains difficult to be diagnosed and distinguished between healthy aging, AD patients are characterized with specific hallmark features of brain.

The cascade of AD resulted in progressive loss of cognitive function of the brain. This impairment further leads to dysfunction of nerve synapsis. The principal cause of AD on genetic evaluation found to be amyloid  $\beta$ -protein aggregation that culminated in neural network failure and has been imaged through various techniques as triple fluorescent confocal microscopy and 3D reconstruct [7]. The amyloid plaques and tau tangles circumvent the keen inter-

est of the researchers to unravel the mystery. Amyloid protein and its precursor found to be pleomorphic and various techniques inclusive of bioimaging and other spectral techniques has been implicated to analyze the structural changes of the protein. Hayden and Teplov elucidated in a review about the cellular and molecular structural changes when studied in different platforms including *in vivo*, *ex vivo*, *in vitro*, and *in silico*. A clear scrutinized data revealed that use of antibodies in animal models enhance the AD mutations within the sequence of  $\beta$ -amyloid (A $\beta$ ) precursor region. Furthermore, the simulated study also has been observed and concluded that the protein is highly dynamic in nature with bulk of controversies and requires new approach towards mechanistic science in furtherance of therapeutics [8]. Recently, amyloid- $\beta$  and tau protein soluble aggregates in cerebrospinal fluid (CSF) have been assessed in small number of mild cognitive impaired AD patients, the controls and the AD patients through atomic force microscopy (AFM) and through super-resolution imaging. The effect of aggregates to penetrate the lipid membrane has been studied and demonstrated that larger fraction of aggregates penetrates in mild impaired AD patients whereas the size of the soluble aggregates becomes larger in case of established patients leads to neuroinflammation that confirms the structural potency of the amyloid protein [9]. Kotler and co-workers elaborated the effect of amyloid toxicity and mechanism of cell membrane distortion along misfolding pathway of amyloid  $\beta$ -protein [10]. The hypothesis of amyloid effect in AD patients described very precisely that amyloid monomers change its structural form from low N-oligomers to fibrils accelerating the membrane disruption through nonspecific membrane binding, ion channels, cellular factors, oxidative stress, and signaling pathway. Hence agitate the cellular homeostasis finally led to synaptic and neural deterioration. In addition, an alternative pathway for amyloid  $\beta$ -protein clumps depending on gangliosides interaction while membrane distortion process has also been elucidated [11]. Additionally, Dobson studied the molecular basis of the amyloid protein along its interactions with the main chain and variable side chain as well concluded that the side chain found to be responsible for the initiation of amyloid plaque formation. Furthermore, a collection of data has been given in reference to amyloid formation, homeostasis terminated in outbreak of various neurodegenerative and other disorders. Few of the therapeutics inclusive of molecular chaperon that effectively suppress the formation highly toxic oligomers in AD [12] as well as kinetic analysis of chaperons [13] have been described significantly [14]. Ivanova and coworkers discussed the knockdown approaches of the biophysical process found to be responsible of cross-seeding in amyloid clumps. The human islet of amyloid polypeptide (IAPP) triggers the formation of toxic heterocomplexes along with amyloid beta has been discussed thoroughly [15]. In a bioinformatic study, the molecular simulations have been performed in accor-



**Fig. 1. Alzheimer disease and its pathogenesis.** (A) Characteristic symptoms at various stages of AD. (B) The hallmarks and causatives of Alzheimer disease.

dance to find the structural disorganization of A $\beta$ , tau, and the  $\alpha$ -synuclein protein in aqueous solution using different computer models and successfully a novel PEP-FOLD structure for abovesaid three protein has been described [16].

Next, the blood vessels play a vital role in delivering oxygen rich blood and nutrients to all the tissues and organs of the body. The central nervous system (CNS) is also vascularized by the blood vessels that hold a unique property of allowing movement of ions, molecules, and cells in a regulated manner between the blood and the brain. This tight regulation having the unique property is termed as blood-brain barrier (BBB). The BBB maintains the homeostasis of the CNS and thus helps in proper functioning of neurons and protecting the tissues making up the neurons from toxins and pathogenic attack. It also helps in preventing the progression of various neurological diseases [17]. The BBB is known to have a major impact in the AD pathogenesis. It is a highly selectively semipermeable membrane which acts as a structural and chemical barrier to prevent the entry of any foreign substance that aims to invade the brain tissues. Dysfunction of BBB is known to induce the hindrance or failure in transporting beta-amyloid protein from

brain to the peripheral circulation through the BBB [18].

Nanomaterials have been extensively used in the field of medicine and healthcare over the past two decades because of their tiny size and their extraordinary characteristics. Such nano-sized materials have been fabricated into various nanoparticles (NPs) that can cross easily BBB. These NPs have the ability to act on molecular structures and cellular components. These structures may be nucleic acids, cellular membranous tissues, proteins, and peptides causing unexpected changes in the functioning of biological processes in cells and tissues. The therapeutic approach based on NPs is gaining attention continuously [19]. In AD, the amyloid- $\beta$  (A $\beta$ ) can be considered as the primary target by these NPs. The large number of potent molecules is being known while doing therapeutic research to treat AD [20]. The formation of amyloid protein is hindered by NPs as they offer high sensitivity in molecular detection as well as help in targeting the drug in an effective manner. The NPs also help in preventing the A $\beta$  accumulation while the drug is being delivered to the cells targeted to treat AD.

In this review, we comprehensively discussed different hallmarks of brain that are affected during AD progression, the role of various factors in the pathological de-

velopment of AD, therapeutic modalities in the treatment of AD, and different types of nanomaterials used to deliver drugs via crossing BBB to the brain of AD patients.

### 3. Hallmarks of brain affected by Alzheimer's disease

Fig. 1B shows the schematic representation of the various causes and hallmarks associated with AD. Two cellular features are hallmarks of an AD patients' brain: formation of Amyloid Plaques and formation of Tau Protein tangles. Amyloid precursor protein (APP) is a transmembrane protein which is cleaved by two enzymes  $\beta$ -secretase and  $\gamma$ -secretase [21]. Abnormal cleavage of APP produces  $\beta$ -amyloid which is 42 amino acid residue long protein fragment and sticky in nature [22]. However, it was later discovered that proteolytic cleavage site of APP is determined by the gene *APP* that codes for the  $\gamma$ -secretase proteins: *PSEN1* and *PSEN2* and mutation in these two genes is often regarded as the major cause for amyloid beta production [21]. On cleavage,  $\beta$ -amyloids aggregates to form clusters called oligomers which further interact to form more complex microscopic structure referred to as fibrils. These fibrils arrange themselves to form mat-like structure called beta-sheets which clump together to produce plaques combining various other substances. Deposition of  $A\beta$  induce hindrance in the flow of impulse from one neuron to other thereby changing the redox balance of the body resulting in activation of reactive oxygen species (ROS) leading to inflammation.

### 4. Role of various factors in the pathological development of Alzheimer's disease

#### 4.1 Lipid

Cerebral lipids are one of the major biological components of brain constitutes of about  $\geq 50\%$  of total brain weight. It is well documented that both genetic and non-genetic factors that affect the lipids in the brain. Aging, race/ethnicity, gender, and lifestyle are some of the non-genetic (demographic) risk factors for AD [23].

The significance of lipids in AD came to light following the identification of apolipoprotein E (ApoE), variant E4, one of the prominent genetic risk factors for AD [24]. It has been shown to play a key role in the transport of lipids and metabolic pathways associated with it. Genome-wide association studies revealed that the list of other genes involved in lipid metabolism, which are connected with AD pathology. To name a few, there are *APOC1*, *CLU*, *APOC2*, *APOC4*, *ABCA7*, *ABCA1* and many others [25]. Alterations in fatty acids at the level of lipid rafts and cerebral lipid peroxidation were found at the early stage of AD [26].

Dysregulated lipid metabolism is the most common symptom for Late-onset AD. This was concluded

based on studies with fibroblast and peripheral blood mononuclear cells of peoples affected by AD [27]. More importantly, the amyloid precursor protein has been shown to regulate the pathways that are central to lipid synthesis, mainly cholesterol [27]. Among the omega-3 fatty acids, the levels of docosahexaenoic acid (DHA) in hippocampus region of brain were found to be reduced in AD patients [28]. Besides, the levels of numerous fatty acids found to change with onset of AD [23].

#### 4.2 Metals

Proteins are known to bind to essential metal co-factors and its binding to protein is very competitive. For maintaining neuronal functions, it is important to regulate the homeostasis of metal ions. At the same time, heavy metals are known to induce epigenetic changes and the AD associated pathological conditions [29]. Dysregulated metal homeostasis and exposure to toxic metals such as mercury, lead, aluminium, and cadmium aggravate the pathogenesis of AD [30]. During the progression of AD, there exists a good connecting link between the imbalance in the biologically significant metals such as magnesium, zinc, copper, calcium, manganese, iron and the abnormal expression of genes that code for endogenous proteins to carry out the metal transport [30]. It is also known that metal ions augment the reactive oxygen species production in the brain, which hinder the functions of neurons. Alternatively, fluctuations in the metal ion concentration have been shown to affect the  $A\beta$  synthesis, enzymatic degradation of  $A\beta$ , aggregation of Tau proteins and its clearance [29].

De Toma and coworkers gave an elaborative review describing the interactions of metal with amyloid peptides and islet of amyloid polypeptide (IAPP) that affect the structural, catalytic and signaling function of the body. Various essential metal ions as copper and zinc helps in neural synapsis and the balance of these metals should be maintained for the proper functioning of the brain. Higher concentration of metal ions reported in amyloid plaques found in AD about  $15\ \mu\text{M}$  and  $300\ \mu\text{M}$  concentration has been reported in amyloid aggregation which further generated ROS responsible for oxidative stress of the cell membrane [31]. Nevertheless, the amyloid aggregation interaction with the metal chelators and ROS regulation also have been disclosed with the mechanism [32]. Two derivative of di-phenyl propyne has been evaluated for interactions with amyloid species using UV-visible spectroscopy, nuclear magnetic resonance, spectroscopic, and simulation techniques. It has been scrutinized that dipeptidyl peptidase-2 (DPP2) showed more reactivity as compared to DPP1 and can be used for metal-amyloid interactions studies further [33].



### 4.3 Macromolecular crowding

Macromolecular crowding is an important aspect shown to influence the A $\beta$  aggregation phenomena which is commonly referred as amyloidogenesis. With the support of coarse-grained simulations, it has been shown that an increase in total crowder surface area promotes the rate of fibrils formation from oligomers and as a result, fibrils growth proceeds at an accelerating rate [34]. Another group have investigated the effect of macromolecular crowding on protein aggregation kinetics which provided a complete view on the aging effects towards development of neurodegenerative diseases [35]. This study elucidates the link between the aggregation of peptides/proteins and the symptoms associated with neurodegenerative disorders. The effect of crowding polymers such as dextran and Ficoll on the A $\beta$  fibrillation, a clinical hallmark in the pathogenesis of AD was investigated under both shaking and non-shaking conditions [36]. Results indicated that viscosity and the surfactant activity of the polymer influences the macromolecular crowding.

## 5. Therapeutic modalities in the treatment of Alzheimer's disease

As an attempt to combat the AD, novel therapeutic approaches mainly the development of (i) small molecule inhibitors which blocks oligomerization step and (ii) catalytic antibodies for the hydrolysis of A $\beta$  aggregates are devised [37]. Some of the US FDA approved medications used in the treatment of AD are donepezil (Aricept), galantamine (Razadyne), and rivastigmine (Exelon) which comes under cholinesterase inhibitors while memantine (Namenda) affects glutamatergic system [38]. Contilisant, a neuroprotectant demonstrated significant inhibitory effects against monoamine oxidases and cholinesterases and also augments the cognitive functions impaired due to aggregation of A $\beta$  42 [39]. Polyphenolic compounds are good examples of inhibitors of A $\beta$  oligomers, which are naturally present in black tea extracts, red wine, and olive oil. Habchi *et al.* [40] reported the screening of small molecules as inhibitors of A $\beta$  42 aggregation, based on the aggregation rate measurements. As an immunotherapeutic strategy to fight against AD, antibodies are employed to clear the accumulated A $\beta$  plaques in the cerebrum. For instance, SDPM1, an A $\beta$  antibody which is made up of 20 amino acids, prevents the aggregation of A $\beta$  amyloids through binding to A $\beta$ 40 and A $\beta$ 40 tetramers. Some of the antibody-based A $\beta$  inhibitors which are currently under clinical trials are intravenous immunoglobulin (IVIg), gantenerumab, solanezumab, crenezumab, which binds soluble peptides and improve the cognitive functions [41–43]. Gantenerumab, a monoclonal antibody that selectively targets the central and N-terminus of A $\beta$  [44] while the solanezumab interacts with a larger mid-portion of A $\beta$

as an epitope [45]. Besides, there are few examples of intravenous immunoglobulins. For instance, IgG and 2E6 have been shown to inhibit aggregation of amyloid fibrils through interaction with spatial epitopes of oligomers of A $\beta$  peptide [46, 47].

The lack of no full-proof treatment and diagnosis against this dreadful disease urges the researchers to explore various therapeutic approaches that focus to ease the diagnosis and therapy for AD [48]. The current pharmacological and non-pharmacological therapies adopted by physicians aim at alleviating the symptoms and improving the quality of life in patients [49]. The clinical trials underway mainly targets towards symptomatic therapy, by attaining minimum production and reduction of pathology within brain [50]. The ideal hallmark target that effectively halts or slows down the progression of neurological disease is still under investigation [51].

Nanomaterials have gained considerable attention because of their relevant characteristics such as biocompatibility, and low toxic nature. Besides, these nanomaterials can be tailored by facile chemical modification to impart unique and desirable properties suitable for biomedical applications [52–54]. Nanotechnology promisingly revolutionizes drug manufacturing, drug delivery, medical diagnostics and treatments. Targeting of the drug and enhanced safety profile is the prime advantage of using NP approaches [55]. Further, in the next section, we describe the various nanomaterials including magnetic NPs, dendrimers, liposomes, carbon nanotubes, nanopores, and fullerene to combat AD progression.

## 6. Nanomaterials as therapeutic tools to combat AD

With the advent of nanotechnology, the wide use of NPs as front-line tool in biomedical sciences is vastly recognized. In the last decade, a wide spectrum of organic and inorganic nanomaterials based nanocarriers viz., fullerenes, carbon nanotubes, quantum dots (QDs), dendrimers, liposomes (LIPs), magnetic NPs have been investigated, as potential means for targeted drug delivery, diagnostics, tissue regeneration, cell culture, biosensors, etc., in the field of biomedicine [56]. These nanocarriers can easily cross the blood brain barrier (BBB) or bypass the BBB and reach to the target region in the brain of AD patients. Due to which, these nanosized vehicles may display their improved clinical outcomes (Fig. 2). The importance of these nanomaterials in the design and development of therapeutic agent against the progression of the AD is discussed in the next section and summarized in Table 1 (Ref. [19, 57–75]).

### 6.1 Fullerenes

Buckyballs or Buckminsterfullerenes is a carbon allotrope with diameter of about 7Å constituting 60 carbon atoms in a geometry called truncated icosahedrons

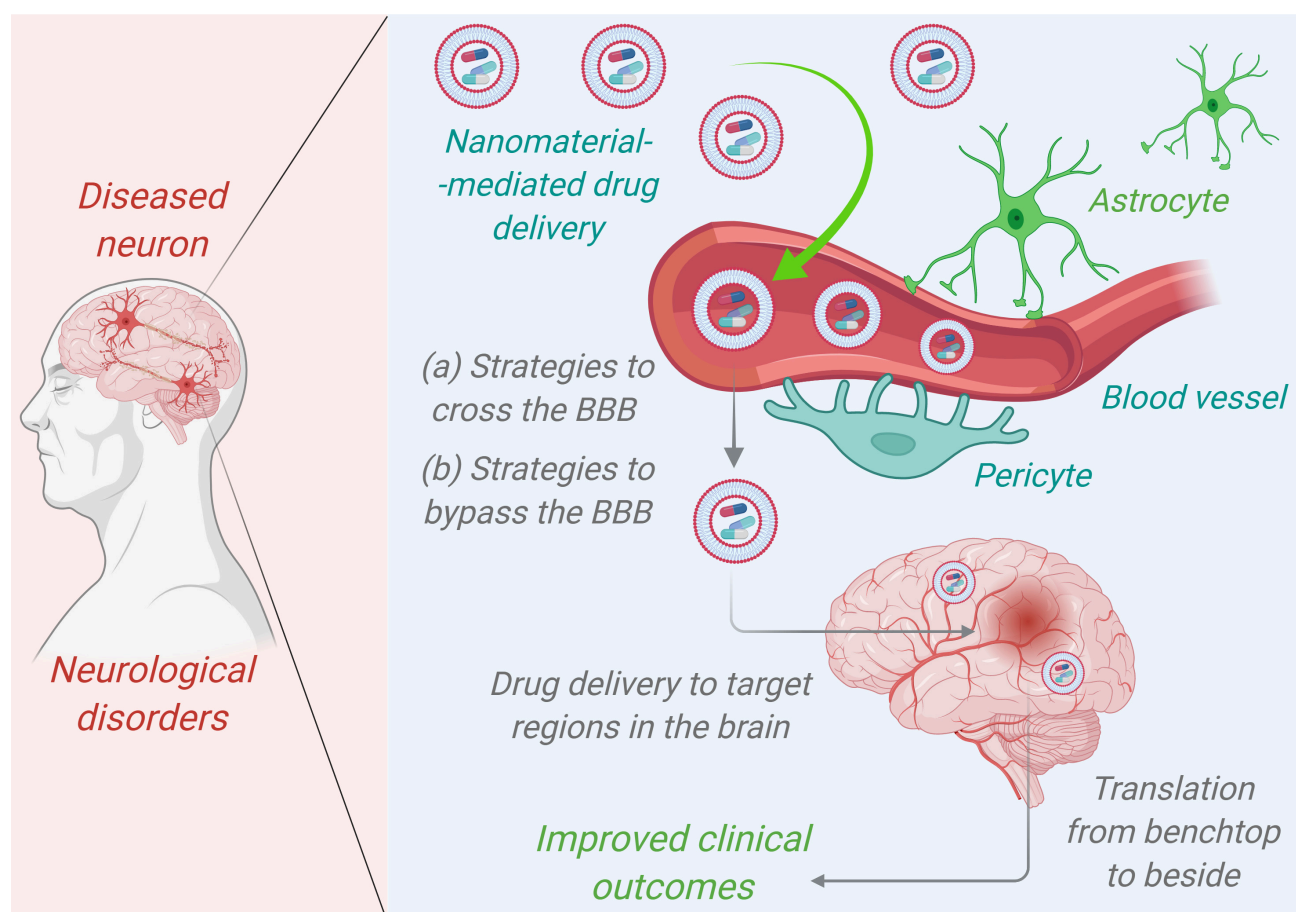


Fig. 2. Nanomaterials mediated drug delivery of therapeutic agents in targeting Alzheimer disease patients' brain to improve clinical outcomes.

[76]. Depending on application, fullerenes are classified into three types: endohedral metallofullerenes, exohedral fullerenes and heterofullerenes. Endohedral metallofullerenes is composed of radioactive metal within the Buckyball and used for diagnostic purposes such as magnetic resonance imaging (MRI) and other imaging procedures employing radiocontrast media. Being less toxic and safer, these can be utilized as radioactive tracers for imaging organs [77]. Exohedral fullerenes are produced by chemical reaction between fullerenes and other chemical entities. These are derived from certain modifications of fullerene, also known as functionalized fullerenes. These are used as photosensitizers in photodynamic therapy where it produces harmful reactive oxygen species on stimulation by light, induced the programmed cell death [78–80]. Heterofullerenes contain other atoms like boron, nitrogen and few others in the place of one or more carbon present in fullerene compounds. A large number of conjugated double bonds present in the core of the fullerenes which scavenge free radicals and protect mitochondria from the attack by free radical species [81]. Oxidative stress induced as a result of free radicals generates demyelination of neurons, mitochondrial dysfunction, damage to microtubules, and apoptosis [82]. Ehrich *et al.* [83] investigated nanomaterials

made of fullerene derivatives and showed the potential to counteract the toxic effect produced by organophosphatase-induced AChE inhibition, suggesting the antioxidant property. Furthermore, fullerenes are believed to activate the host immune response and generate antibodies specific to fullerenes [84]. REMD simulation studies by Xie *et al.* [85] proved that C-60 fullerene NPs (where molar ratio of fullerene: peptide was greater than 1:8) has immense ability to halt  $\beta$ -sheet formation of A $\beta$  (16–22 peptides). Fullerene composed of 3C-60 molecules with much smaller surface area and unpredicted stronger inhibitory effect on the formation of  $\beta$ -sheet of the A $\beta$  (16–22 peptides) was evident through REMD studies. Strong inhibition occurs as a result of hydrophobic interaction and aromatic-stack interactions present between the hexagonal rings, where phenyl rings relate to pentagonal rings that leads to weaker peptides responsible for holding  $\beta$ -sheet, and subsequently decreases the A $\beta$  (16–22 peptides) fibril formation [85]. Fullerene exhibit unique contrasting characteristics of promoting ROS generation in UV or Visible light as well as scavenging ROS under dark conditions. Based on this contrasting property, Du *et al.* [86] designed UCNP@C<sub>60</sub>-pep (UCNP: up conversion nanoparticle, pep: A $\beta$ -target peptide KLVFF) for AD therapy as it becomes active in the presence of

**Table 1. Nanodrug carriers delivering anti-AD drug in AD brain.**

Nanodrug carrier	Anti-AD drug	Preclinical or clinical	References
<b>Polymeric Nanoparticles</b>			
PLGA-b-PEG	Galantamine	<i>In vitro</i> & <i>In vivo</i>	[57]
PLGA	Donepezil	<i>In vitro</i>	[58]
PLGA	Withaferin	<i>In vitro</i>	[59]
PEG-PLGA	Memantine	<i>In vitro</i> & <i>In vivo</i>	[60]
PAAM-Cardiolipin-PLGA	Rosmarinic acid & Curcumin	<i>In vitro</i>	[61]
<b>Solid-lipid Nanoparticles</b>			
SLN-DSPE-ApoE	Resveratrol	<i>In vitro</i>	[62]
SLN-Palmitate-ApoE			
S80-, PS-, PA-SNP	Nicotinamide	<i>In vitro</i> & <i>In vivo</i>	[63]
S80-SNP	Piperine	<i>In vitro</i> & <i>In vivo</i>	[64]
<b>Liposomes</b>			
mApoE-PA-LIP	Modified ApoE-derived peptide	<i>In vitro</i> & <i>In vivo</i>	[65]
GSH-PEG-EYPC-LIP	VHH-pa2H	<i>In vitro</i> & <i>In vivo</i>	[66]
CPP-LIP	Rivastigmine	<i>In vitro</i> & <i>In vivo</i>	[67]
<b>Carbon Nanotubes</b>			
MWCNTs	Berberine	<i>In vitro</i> & <i>In vivo</i>	[68]
<b>Dendrimers</b>			
PAMAM-Lf	Memantine	<i>In vitro</i> & <i>In vivo</i>	[19]
PAMAM-DG4.0, DG4.5	Tacrine	<i>In vitro</i> & <i>In vivo</i>	[69]
Pyridylphenylene	—	<i>In vitro</i>	[70]
<b>Magnetic Nanoparticles</b>			
MNPs-PEG-PLA	Curcumin	<i>In vitro</i> & <i>In vivo</i>	[71]
Au NPs	Anthocyanin	<i>In vitro</i> & <i>In vivo</i>	[72]
<b>Nanodiscs</b>			
4F Nanodiscs	—	<i>In vitro</i>	[73]
rHDL-rApoJ Nanodiscs	rApoJ	<i>In vitro</i> & <i>In vivo</i>	[74]
<b>Carbon Dots</b>			
CUR-Fe <sub>3</sub> O <sub>4</sub> @CDs	Curcumin	<i>In vitro</i>	[75]

CDs, Carbon Dots; CPP, Cell penetrating peptide; CUR, Curcumin; DG, Dendrimer generation; DSPE, 1,2-Distearoyl-sn-glycero-3-phosphorylethanolamine; EYPC, Egg yolk phosphatidylcholine; GSH, Glutathione; Lf, Lactoferrin; LIP, Liposomes; mApoE, Modified ApoE protein; MNPs, Magnetic Nanoparticles; MWCNTs, Multi-walled carbon nanotubes; PA, Phosphatidic acid; PAAM, Polyacrylamide; PAMAM, Poly(amidoamine); PEG, Poly(ethylene glycol); PLA, Poly(lactic acid); PLGA, Poly(lactic-co-glycolic) acid; PS, Phosphatidylserine; rApoJ, Recombinant apolipoprotein J; rHDL, Recombinant high-density lipoprotein; S80, Polysorbate 80; SLN, Solid lipid Nanoparticles; VHH-pa2H, Amyloid beta binding llama single domain antibody fragments.

NIR light. This hybrid nanoparticle generates ROS upon illumination with NIR light and photooxygenize A $\beta$  peptides and hampers A $\beta$  aggregation and as a result, consequent cytotoxicity is lessened. This near-infrared switchable fullerene-based synergy therapy to treat AD, serves as “image-guided therapy” used for UCL and MRI [86].

## 6.2 Nanotubes

Nanotubes are tube-like structures on which graphite is rolled and buckyballs present either at one or both the ends. These are of two types: SWCNT with an internal diameter of 1–2 nm and MWCNT having 2–25 nm diameter and 0.36 nm spacing between the two layers. Its length is of few micrometers [87]. Nanotubes enter the cell membrane through endocytosis or direct insertion or diffusion phenomena. To make it accessible within the cell, facile carboxylic or ammonium group can be intro-

duced over this tube-like nanostructure. Thus, it serves as a means to deliver peptides, nucleic acids and other therapeutic molecules into the cell. Nanotubes have been explored in gene silencing therapy by conjugation of siRNA to the nanotube. It is advantageous over other means of transfer since it is non-immunogenic. Another way of targeting specific diseased cell is by conjugating antibodies along with radiolabeled or fluorescent tagged isotope [88–90]. SWNTs which were actually F-CNTs have been used by Yang *et al.* [91] to target the brain cells. It was orally administered to mice for continuous 10 days. When observed under electron microscopy, SWNTs were present in traces in absorptive cells, macrophages and neurons as well as in other organs such as heart, liver and brain. Improvement in learning and memory and other cognitive functions were observed as a result of acetylcholine transport with the help of SWNTs

in a mouse model with induced AD. MWCNTs loaded with berberine (BRB) and coated with polysorbate and phospholipid formed complex of 186 nm, showed exceptional reclamation of memory up to 201th day. This complex maintained the biomolecules level in brain and thereby reduces  $A\beta$  fibrils responsible for the onset and later progression of AD [68].

### 6.3 Quantum dots

QDs (2–10 nm) are composed of core-shell made of inorganic substances while aqueous organic substance serve as coating to which biomolecule can be attached that has the ability to target several biomarkers. Upon activation by light, it emits fluorescence light and size of this nanocrystal determines the color of fluorescence [92]. Functionalization of QDs increases the particle size that restricts it to cross and filter through renal capillaries, and thus failed to get eliminated in order to overcome the toxicity of accumulation of QDs within body. In this regard, *in vivo* studies related to the metabolism and excretion of QDs are scarce [92]. Quan *et al.* [93] designed quantum dot nano-vehicle that have the ability to target surface cells and plays an important role in the detection of AD. Nanoformulated probe comprise of fluorescent QDs producing red light from the core which is enclosed in a shell made of polyethylene glycol (PEG)-conjugated with benzotriazole (BTA). This QD-PEG-BTA probe has shown 4 times more sensitivity for the detection of AD when compared to the conventional thioflavin derivatives. The success rate is high due to the fusion of high impact red fluorescence, presence of multivalent binding, and reduced background signal and non-specific binding. As a result, QDs impart increased sensitivity for the detection of amyloid- $\beta$  in the progression of AD [93]. Apoe4 gene mutation has been detected using curcumin-graphene QDs layered on the transparent indium tin oxide (ITO) electrode. Amperometry studies showed ultrasensitive behavior and detected the DNA complex formation, in addition to repeatability, reproducibility, selectivity and long term storage stability of the complex [94]. S100 $\beta$  is another AD biomarker detected by an immunoassay based on photoelectrochemical sensing device using ITO electrode. The ITO electrode altered due to the incorporation of nanosized rGO and gold particles, and later casted as sol-gel film composed of isocyanate functional groups ( $-N=C=O$ ). The primary antibody is immobilized on the rGO-Au/ITO electrode and the CdS QDs labeled antibody developed against S100 $\beta$  act as secondary antibody. Electrochemical properties and functional activities were observed to read the AD biomarker in the fluid. Tabrizi *et al.* [95] used this ITO modified and gold nanocomposite-CdS labeled antibody based immunosensor for the diagnosis of S100 $\beta$ .

### 6.4 Magnetic nanoparticles

Delivery of SPMNs under the influence of magnetic field have shown profound applications in non-invasive MRI. Certain magnetic nanoparticles (MNPs) have shown the potential to cross few biological and physical barriers, like BBB studied using molecular dynamics (MD) approach and deduced the association between BBB and NPs [96]. In a finding by Pansieri *et al.* [97] MNPs were investigated as a tool to efficiently diagnose the amyloidosis through imaging the amyloidogenic plaque or fibril depositions. This technique was reported to be safe and non-toxic when used under optimized conditions. However, the assessment of free or functionalized MNPs for biocompatibility with medical relevance remains to be investigated [97]. Nasr *et al.* [98] worked to achieve AD diagnosis *in vivo* and designed magnetic nanoparticle which cross BBB and detect the presence of  $A\beta$  plaques. Here, NPs functionalized with bovine serum albumin (BSA) which was further decorated with sialic acid (NP-BSA<sub>X</sub>-Sia) exhibited biocompatibility, high magnetic relaxivities for MRI and high selectivity to target  $A\beta$  plaques when examined in human AD transgenic mice. NP-BSA<sub>X</sub>-Sia can act as a promising detection tool for non-invasive diagnosis and examination of  $A\beta$  plaques *in vivo* [98]. Amin *et al.* [99] elaborated a method to deliver FMNPs in normal mice brain with the help of functionalized magnetic field produced by electromagnetic coils. FMNPs showed the ability to reach cortex and hippocampus of brain through BBB. It was extended to target  $A\beta_{1-42}$  in mice with Fe<sub>3</sub>O<sub>4</sub> MNPs coated with dextran bearing osmotin. It was found effective in reducing synaptic loss as a result of  $A\beta_{1-42}$  accumulation, expression of BACE-1 as well as hyper phosphorylation of tau proteins [99].

### 6.5 Dendrimers

Dendrimers are tree-shaped nanosized structure comprises of three parts viz., central core, branches and functional groups which are present at the outer surface of macromolecule. These functional groups determine the efficacy of macromolecular complex which is composed of nucleic acid or entrapped drug. Dendrimers are multivalent molecules with a definite size and known structure with flexible features to modify the surface functional moieties to meet the requirements [100, 101]. It has lower viscosity as compared to the linear polymer equivalents and also exhibited good water solubility due to the presence of hydrophilic functional moieties on the surface. Further, engineering dendrimers with diverse chemical modification such as organic and inorganic groups at the branched site are also known [101–103]. As a replacement to conventional viral vectors, dendrimers are used for gene therapy. Dendrimers have shown promising results when tested in mammalian cell types and animal models. It enters the cells by endocytosis and transports DNA into nucleus for transcription of the desired gene and gene product [56]. The



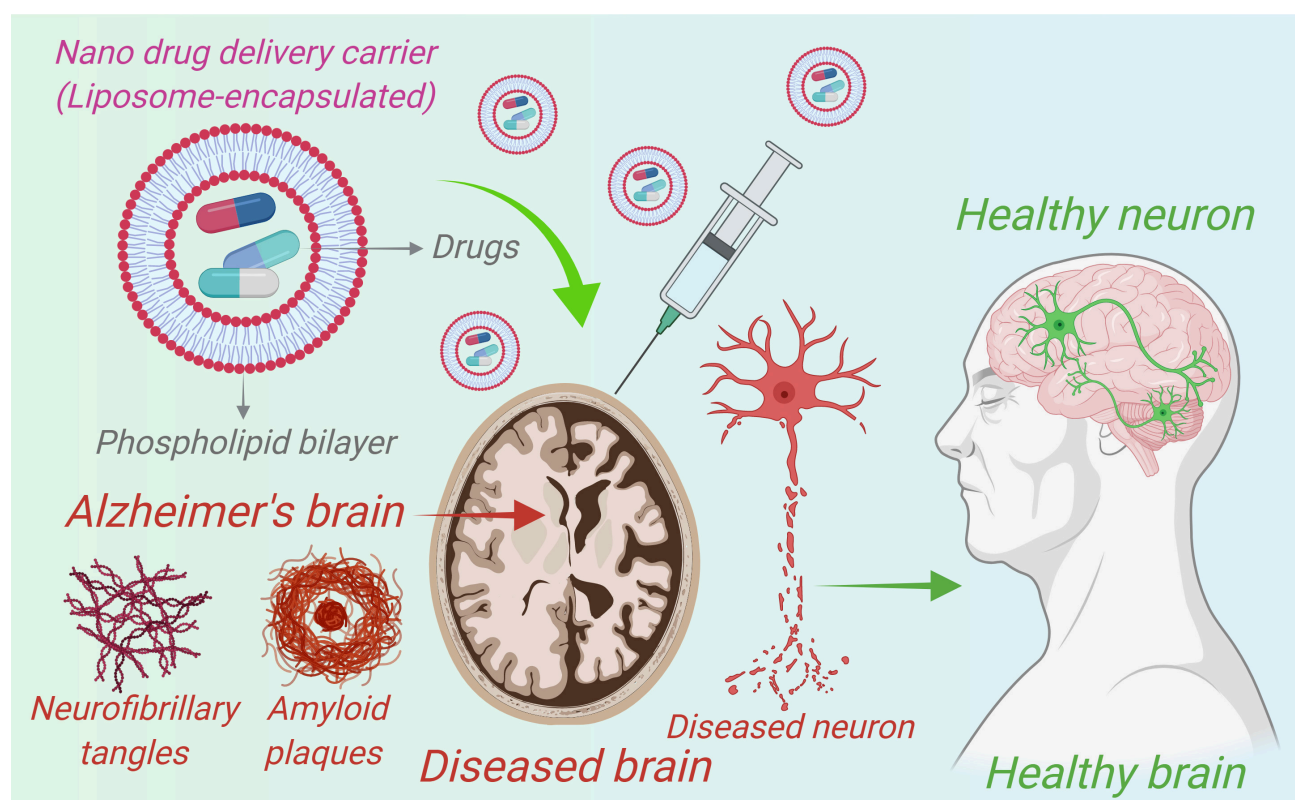


Fig. 3. Liposomal nanodrug carrier in the rapid delivery of both hydrophilic and hydrophobic drugs targeting Alzheimer disease brain.

interesting advantage of dendrimer-based therapy is that it lacks the stimulation of immune reaction [56, 68].

### 6.6 Liposomes

Considered to be the original model of drug delivery vehicles, spherical in shape, composed of lipid bilayer membrane, may be unilamellar or multilamellar having aqueous interior environment, liposomes (LIP) hold a promising approach against AD. LIP facilitate loading of hydrophilic drug in aqueous compartment and lipophilic drug in LIP's membrane for rapid delivery and efficacy (Fig. 3) [104]. To overcome the macrophage attack and opsonization in *in vivo* system, LIPs are coated by a layer of biomaterial with stealth properties such as polyoxyethylene, cholesterol, polyvinylpyrrolidone polyacrylamide lipids, distearoyl phosphatidylcholine to form stealth LIPs. These coatings enhance the duration of drug action by prolonging its circulation time and protecting from immune attack [105–110]. Some of the modified LIPs include immuno-LIPs, antibody-directed enzyme–prodrug therapy (ADEPT), and ligand bearing LIPs. In principle, LIPs are conjugated with an antibody targeted against the desired site and enzymes that activates prodrug and ligand specific for the target structure. It offers advantages like reduction in undesired effects and harm to normal cells, increases targeted drug delivery thereby boosts the drug's efficacy and safety level [111–114]. Owing to the failure of conventional small drugs or biological molecules

to reach clinical trials, targeted nano-LIPs as drug delivery vehicle are promising modalities for AD [115]. So far, it has not reached clinical trials but it is found to be biocompatible, flexible with excellent property of carrying various types of therapeutic agents to cross the BBB and reach brain cells. LIPs can be designed for single therapeutic target or multiple pathways/cascades as targets. Various transformations utilizing peptides that can cross BBB, combined LIP-ligand complex involving phosphatidic acid, curcumin, and a retro-inverted peptide have been designed to target and inhibit A $\beta$  aggregation [115]. The therapeutic aspect of LIP conjugated with cardiolipin carrying curcumin (CRM)-cardiolipin (CL)/LIP and nerve growth factor (NGF) was evaluated in the presence of  $\beta$ -amyloid peptide in Wistar rats. The conjugated LIP surface covered with agglutinin showed decreased expression of phosphorylated p38, p-JNK and p-tau protein present at serine 202 and averted the neurodegeneration of SK-N-MC cells. The liposomal complex, NGF-CL/LIP also improved the expression of p-neurotrophic tyrosine kinase receptor type 1 and p-extracellular signal-regulated kinase 5 which rescues neuronal loss [116].

Kuo *et al.* [117] synthesized LIP containing cardiolipin and phosphatidic acid which provides target specificity against tau protein in hyperphosphorylated state. Trans-activator of transcription (TAT) peptide facilitated the ease of transport across BBB. LIP was loaded with NGF, rosmarinic acid (RA), curcumin (CURC), quercetin (QU),

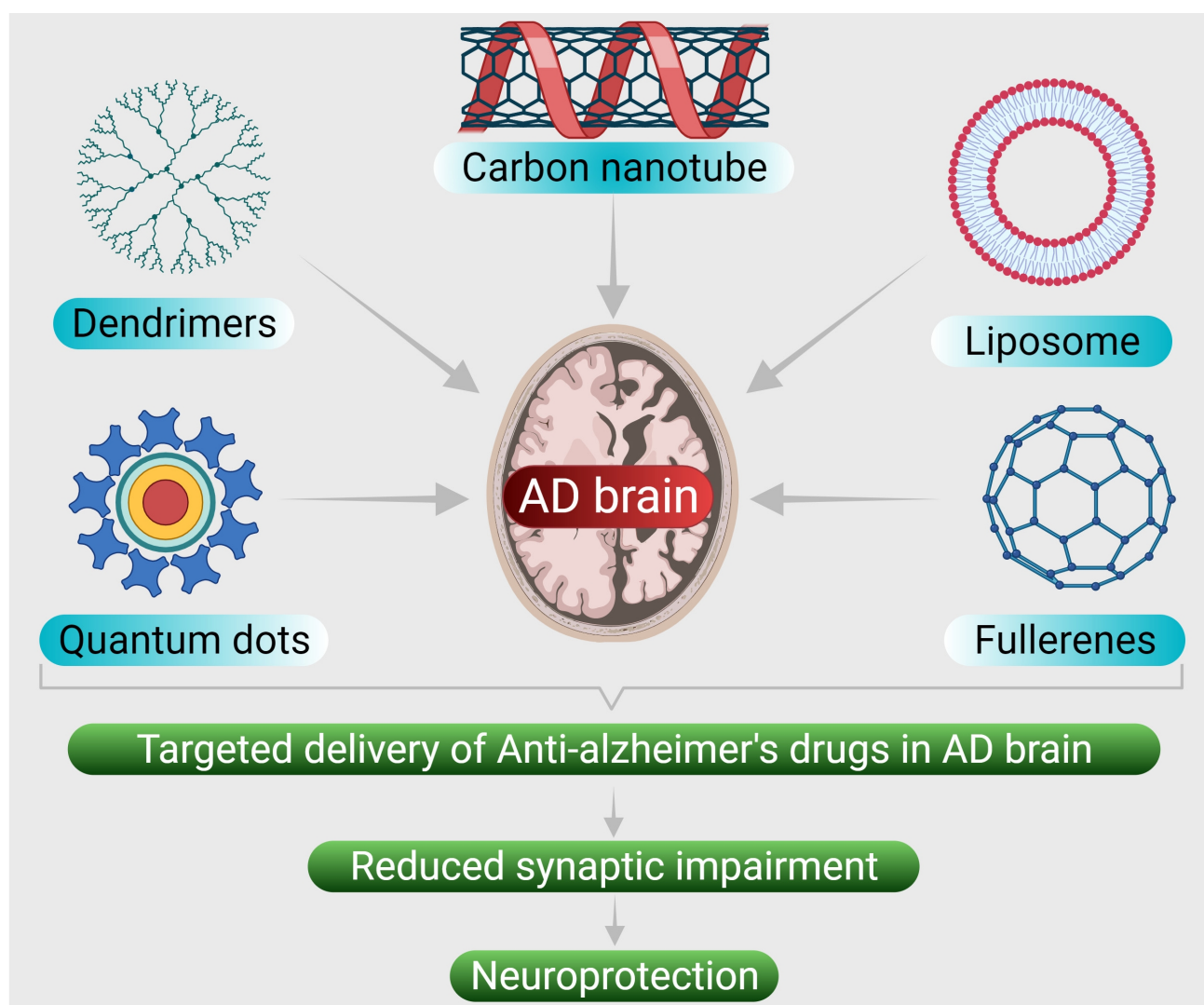


Fig. 4. Various nanoformulations that have been designed to deliver the anti-AD drugs in Alzheimer disease brain.

and phospholipid. The optimized TAT-NGF-RA-CURC-QU-CL/PA-LIP complex was found efficient in downregulating the expressions of pERK1/2 under phosphorylated state which is controlled by external signals such as c-Jun protein kinase present at N-terminal, p38, tau protein found at serine 202 and Caspase 3. This complex also enhanced the expression of p-ERK5 and p-cyclic adenosine monophosphate response element-binding protein [117]. Thus, LIPs are a promising delivery vehicle that pass-through BBB and protect nerve cell against the accumulated amyloid plaques.

### 6.7 Nanodiscs

Nanodiscs are a disc type structure having potential applications in proteomics and biomedicine. It is around 7–50 nm in diameter and consists of two main components: (i) phospholipids which are either of artificial origin or from the cell membrane and (ii) stabilizing agent which is belt shaped and holds the phospholipids together. Stabilizing agents can be protein or syn-

thetic polymers [118]. Nanodiscs aims to mimic the cellular phospholipids for structural and functional studies of target molecules which are membrane proteins and peptides including amyloids. Membrane proteins and membrane interacting peptides are involved in numerous vital biological processes and are important targets for drug development [119]. There is a great utility of nanodiscs in the study of cellular signaling processes assembling on a membrane surface, by providing a well-defined and structured bilayer surface. Klein developed nanodiscs that allow unbiased high throughput screens that target binding sites for Alzheimer's-associated  $A\beta$  oligomers and facilitate drug discovery for membrane protein targets [120, 121]. Sahoo *et al.* [73] established that apolipoprotein mimetic 4F nanodiscs retards beta-amyloid aggregation by using Alzheimer's amyloid-beta ( $A\beta$ 40) peptide as an example.  $\beta$ -amyloid forms short and thick fibers in the presence of 4F nanodiscs and the structural study reveals a ternary association between  $A\beta$ 40 and 4F nanodiscs [73]. High-

density lipoprotein (rHDL) nanodiscs and apolipoprotein J (ApoJ) have been constituted for the potential treatment of cerebral  $\beta$ -amyloidosis, a major feature of AD. Therapies based on rHDL-rApoJ nanodiscs have a potential use to treat neurological disorders associated with cerebral A $\beta$  deposition. Polymethacrylate-copolymer (PMA) encased lipid-nanodiscs have been investigated to characterize and study the structure and toxicity of the Alzheimer's A $\beta$  intermediates [74].

### 6.8 Carbon dots

Carbon dots (CDs) are 0D carbon-based fluorescent nanomaterials less than 10 nm in size and are generally classified into carbon quantum dots (CQDs), carbonized polymer dots (CPDs), graphene quantum dots (GQDs) and carbon nitride dots (CNDs) [122]. CDs were first discovered in 2004 during the purification of SWCNTs via preparative electrophoresis [123]. Synthetic methodologies of CDs consist of top-down and bottom-up approaches with optimized conditions and precursors. CDs have demonstrated the abilities to penetrate the BBB due to their special characteristics, such as low toxicity, high biocompatibility, surface functional group modifications, excellent photoluminescence (PL), and size distribution [124]. CDs have been surface functionalized with amine and carboxyl groups to conjugate with various CNS drugs and also act as carriers to deliver drugs into the CNS to treat AD [125]. Recently, Kuang *et al.* [75] fabricated CUR-Fe<sub>3</sub>O<sub>4</sub>@CDs nanocomposite. This curcumin drug delivery system showed the strong affinity towards A $\beta$  and inhibited extracellular A $\beta$  fibrillation. Further, the nanocarriers inhibited ROS and neurotoxicity in PC12 cells. Thus, the CUR-Fe<sub>3</sub>O<sub>4</sub>@CDs nanocarrier restored the damaged nerve and can be a promising nanomaterial for AD treatment [75].

## 7. Conclusions and future outlook

AD remain as the prime cause of dementia which has many uncommon risk factors and pathologies associated with it. The research progress directed towards unravelling the disease mechanism and developing therapeutics against AD has been remarkable. Integrative analysis of AD diagnostic pathways that vary between patients affected by different causatives is warranted for better understanding of the underlying mechanisms. Identification of AD biomarkers and other observable pathological mechanism such as aberrant inflammation, processing of beta-amyloid protein and tau proteins, neurotrophic functions, etc. enables development of advanced and new approaches that pave way for the early diagnosis and also to identify the most appropriate targets for therapy. The therapeutic efficacy of various inhibitors, antibodies, and other modalities have been limited due to BBB. To overcome this limitation, various nanoformulations have been designed and investigated their crossing across BBB and studied their therapeutic efficiency against AD (Fig. 4).

Further, the other major limiting factor in AD research is the lack of appropriate animal model that can be assigned as closely mimicking the human AD, which is imperative to evaluate the clinical performance of the designed nanoformulations targeted against AD. Due to this ongoing limitation, the translation of AD targeted nanoformulation-based drug delivery systems to clinics is delayed. Thus, successful translation of AD therapeutic modality prerequisite development of animal model that meticulously investigates the therapeutic potential as well as serve to apprehend the complex disease mechanism of AD. Systematic clinical studies involving animal models and humans conducted under the regulatory framework would be vital to collect information about the efficacy, toxicity and pharmacological aspects of these nanoparticle-based AD therapeutics.

## 8. Author contributions

MF and MAG contributed in the collection of the literature, writing and editing the manuscript drafts. SA, SK, NKJ, BF, DD, DKC, PN, and KD contributed in editing the draft and provided the critical inputs in the review discussion. PKG and KKK conceptualized, planned, edited, and finalized the manuscript.

## 9. Ethics approval and consent to participate

Not applicable.

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## 12. Conflict of interest

The authors declare no conflict of interest.

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**Abbreviations:** AAT, Alpha-1 antitrypsin; AChE, Acetylcholinesterase; AD, Alzheimer's Disease; ADEPT,

Antibody-Directed Enzyme Prodrug Therapy; APOE, Apolipoprotein E; APP, Amyloid Precursor Protein; BACE1,  $\beta$ -site Amyloid Precursor Protein Cleaving Enzyme 1; BBB, Blood Brain Barrier; BRB, Berberine; BSA, Bovine Serum Albumin; BTA, Benzotriazole; CLSM, Confocal Laser Scanning Microscopy; CSF, Cerebrospinal Fluid; CUR, Curcumin; FESEM, Field-Emission Scanning Electron Microscope; FMNPs, Fluorescent Carboxyl Magnetic Nile Red Particles; ITO, Indium-Tin Oxide; LIP, Liposome; MCI, Mild Cognitive Impairment; MD, Molecular Dynamic; MNs, Magnetic Nanoparticles; MRI, Magnetic Resonance Imaging; MWCNT, Multi-Walled Carbon Nanotubes; NFTs, Neurofibrillary Tangles; NGF, Nerve Growth Factor; NGF, Nerve Growth Factor; NIR, Near Infrared; NMR, Nuclear Magnetic Resonance; PAMAM, Polyamidoamine; PDPP, Polyvalent-directed Peptide Polymer; PEG, Polyethylene Glycol; pERK 1/2, phosphorylated Extracellular Regulated Kinase 1/2; p-JNK, Phosphorylated c-Jun N-terminal kinase; PSEN1, Presenilin 1; PSEN2, Presenilin 2; QDs, Quantum Dots; QU, Quercetin; RA, Rosmarinic Acid; REMD, Replica Exchange Molecular Dynamics; rGO, Reduced Graphene Oxide; ROS, Reactive Oxygen Species; SEM, Standard Error Mean; siRNA, Small Interfering RNA; SPMNs, Superparamagnetic Nanoparticles; SPs, Senile Plaques; SWCNT, Single-Walled Carbon Nanotubes; TAT, Transactivator of Transcription; TEM, Transmission Electron Microscope; TMS, Triple-helix Molecular Switch; UCP, Up Conversion Luminescence.

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